

# A simple data assimilation approach for constraining global scale modeled GPP using GOME2 SIF data

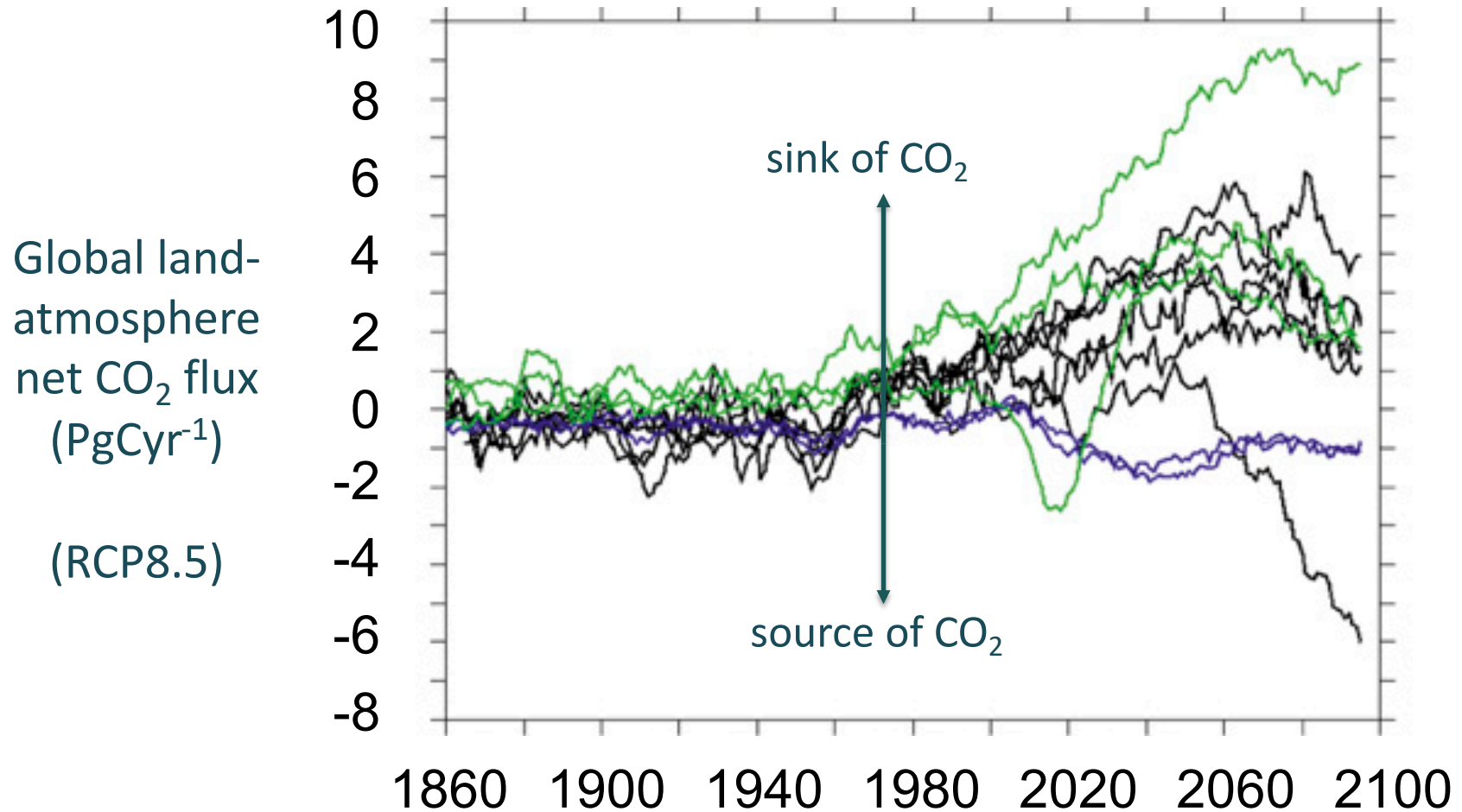
**Natasha MacBean**  
Indiana University

*Fabienne Maignan, Cédric Bacour, Philip Lewis, Luis Guanter, Philipp Köhler, Philippe Peylin, José Gomez-Dans, Mat Disney*

# Outline

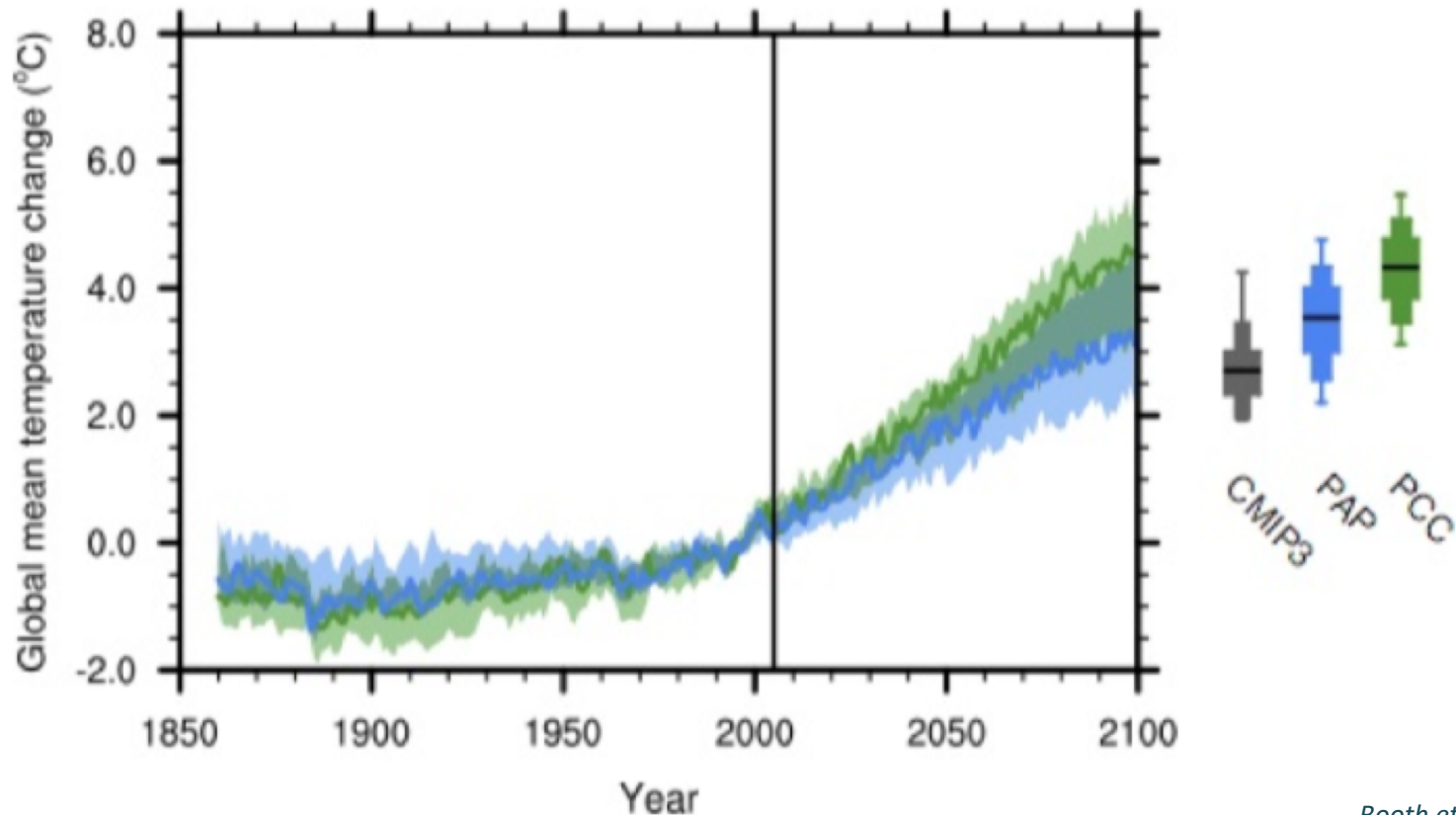
- Motivation for this research
- ORCHIDEE Data Assimilation System (ORCHIDAS) and past studies
- SIF DA set-up and results
- Perspectives and future directions...

# Remaining uncertainty in terrestrial carbon sink



# How important are carbon-climate feedbacks?

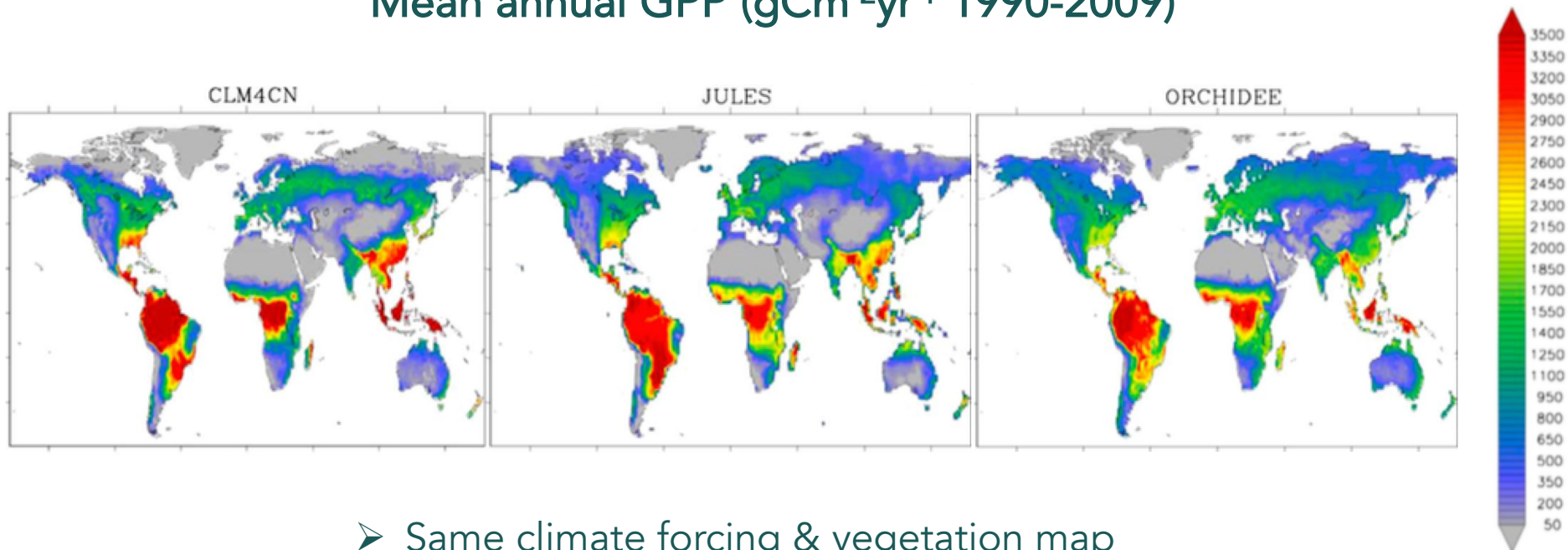
- Earth System Model (ESM) sensitivity analysis – 1 model & 1 scenario (HadCM3 – SRES-A1B)
- Altered **atmospheric physics parameters**
- Compared to **terrestrial carbon cycle parameters**



Booth et al. (2012)

# Remaining uncertainty in current model estimates and future projections – gross C uptake (GPP)

## Mean annual GPP ( $\text{gCm}^{-2}\text{yr}^{-1}$ 1990-2009)



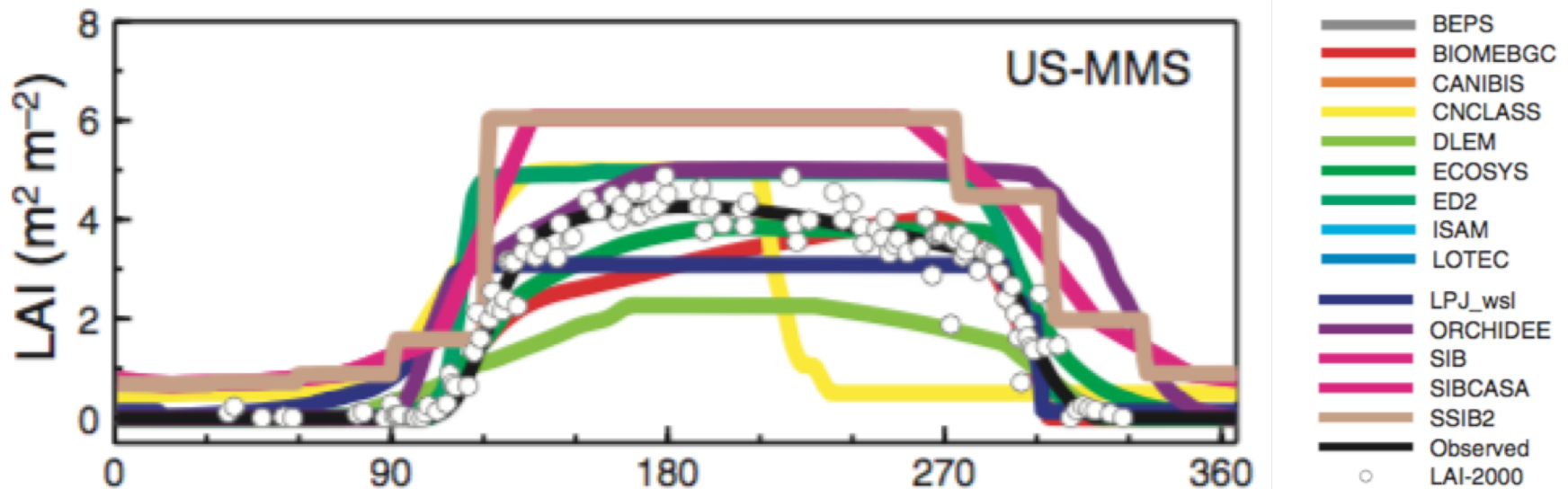
- Same climate forcing & vegetation map
- Different GPP spatial distribution
- Different GPP magnitude

= *Uncertainty due to model processes/parameters*

# Remaining uncertainty in current model estimates and future projections – leaf phenology

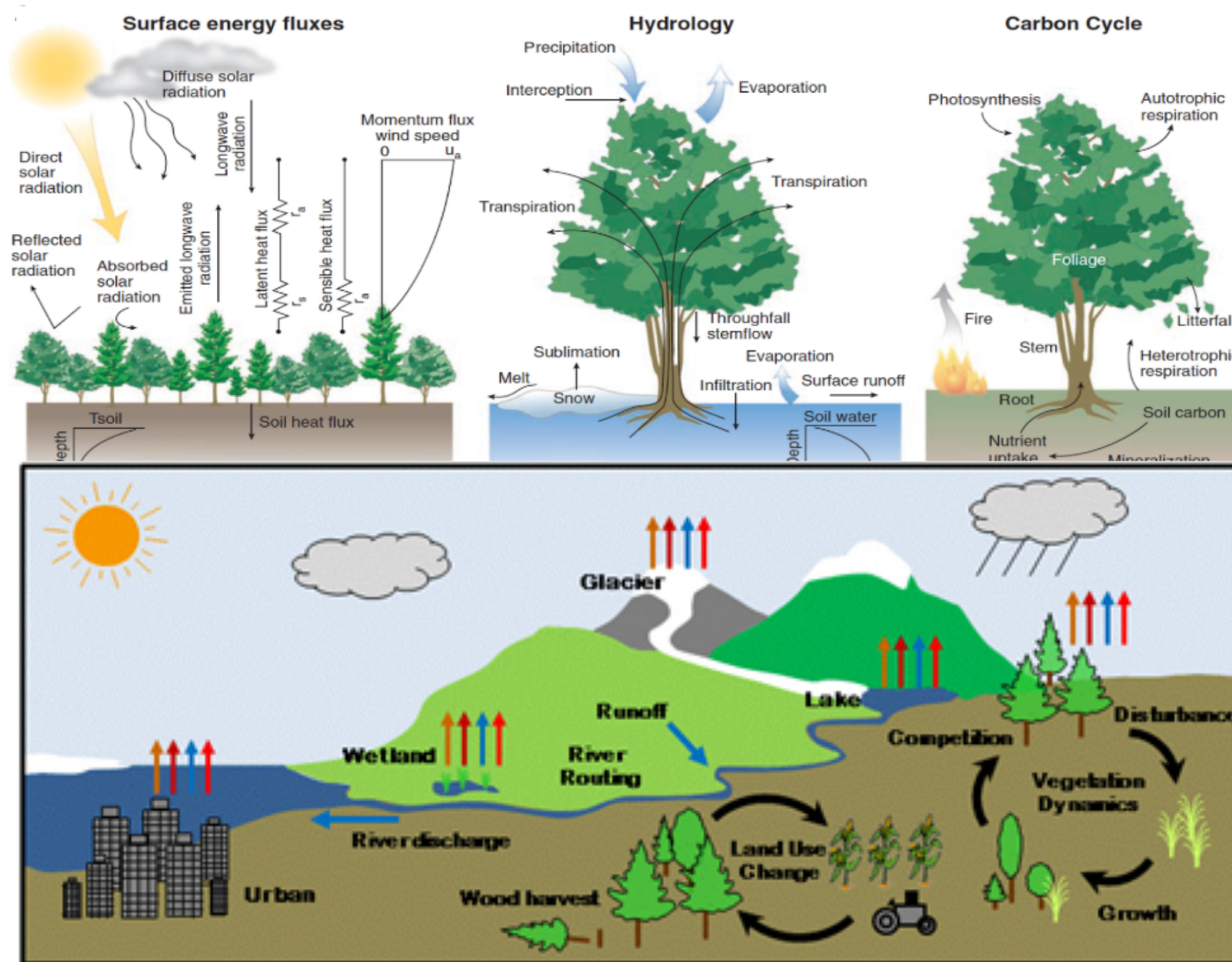


Seasonal leaf dynamics  
(Leaf Area Index – LAI) at  
Morgan Monroe Forest



Richardson et al. (2012)

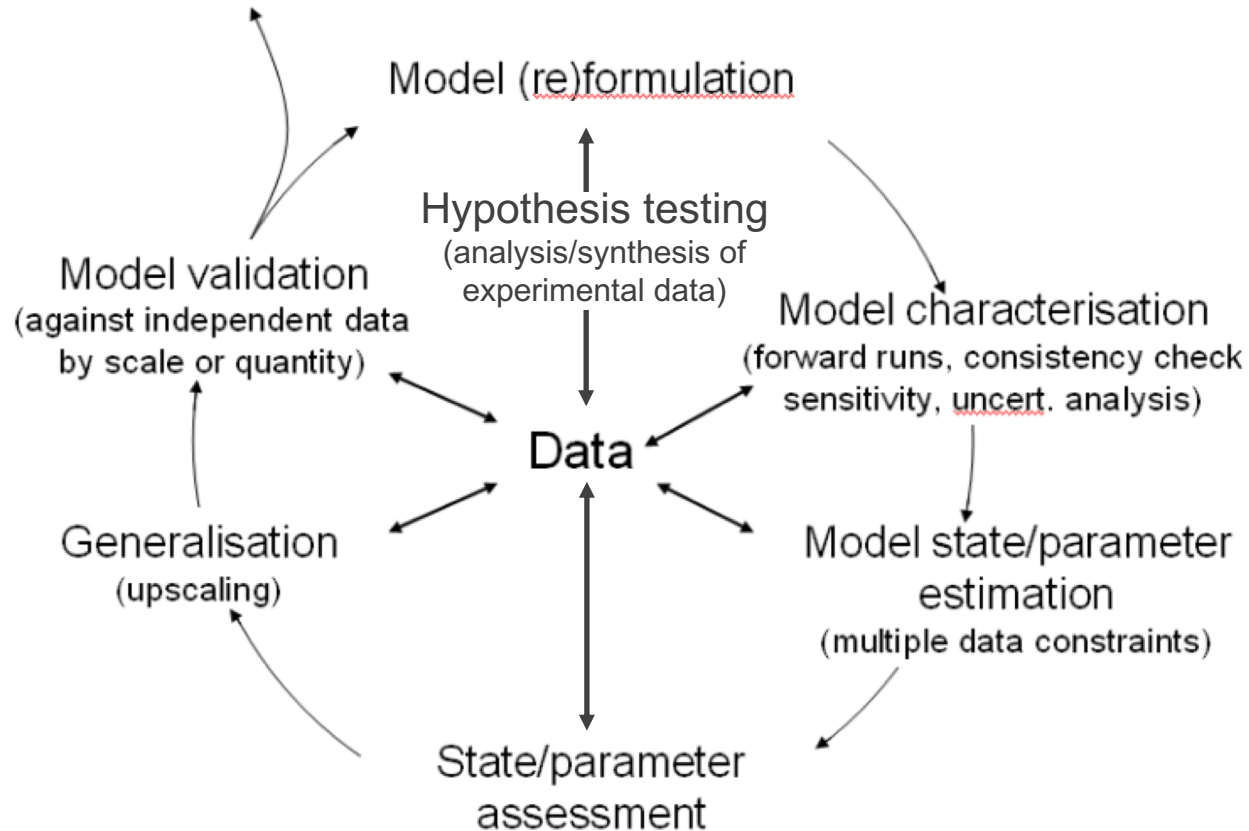
# What is a land surface/terrestrial biosphere model?



<http://www.cesm.ucar.edu/models/clm/>

# Improving models | Reducing uncertainty → the model development cycle

Model application

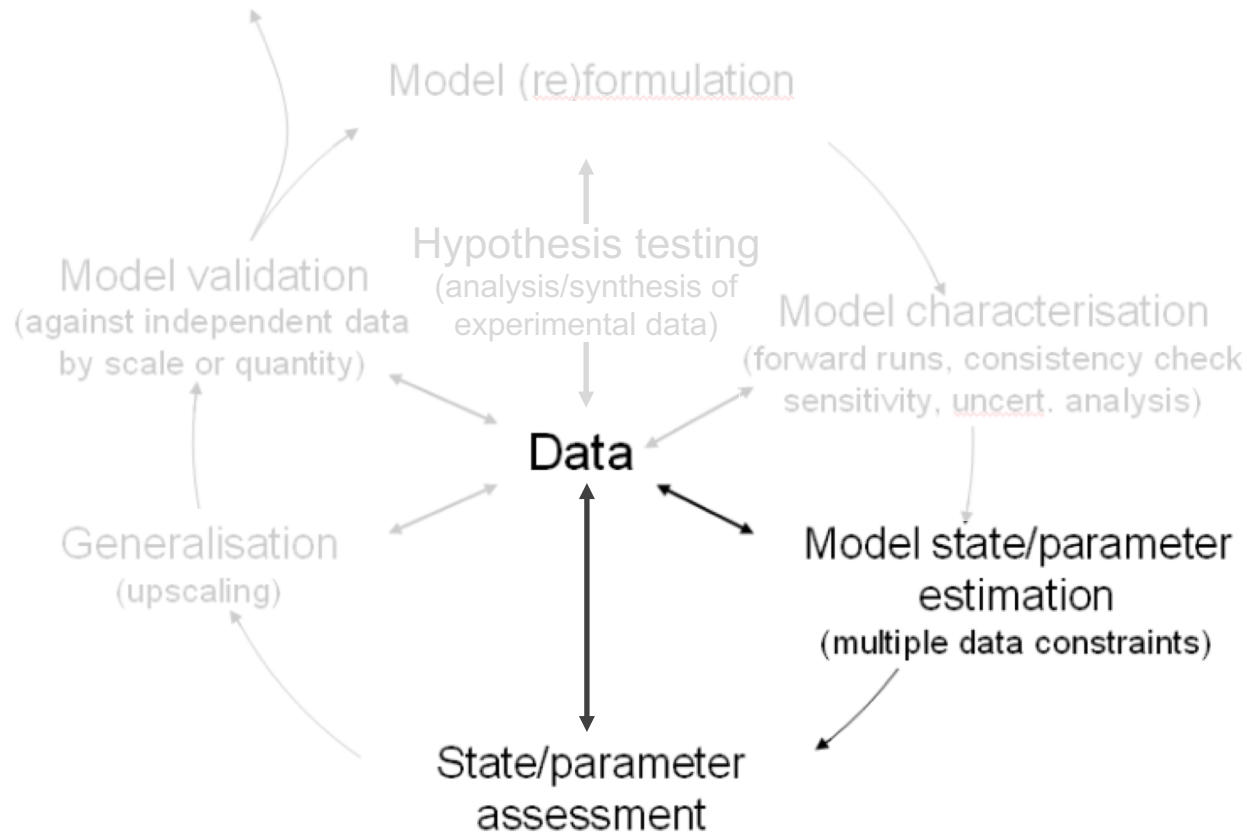


*Adapted from Williams et al. (2009)*



# Improving models | Reducing uncertainty → the model development cycle

Model application

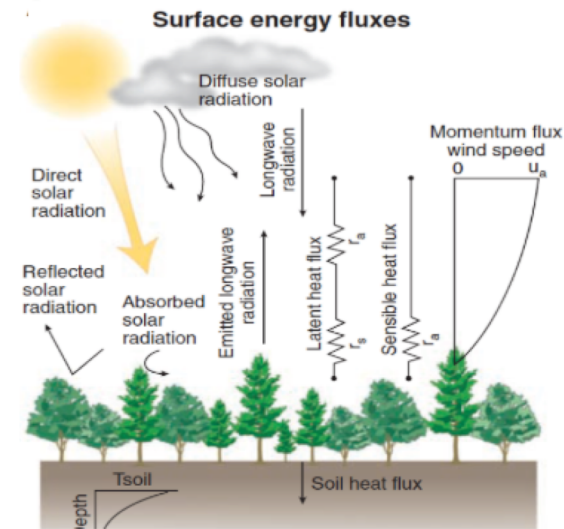
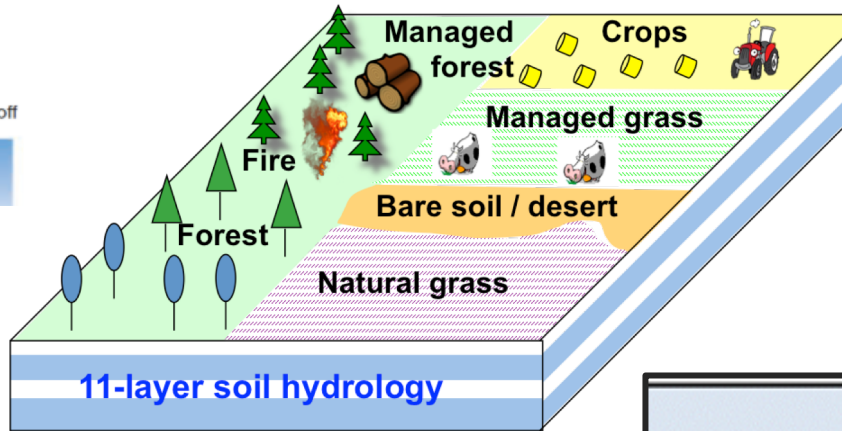
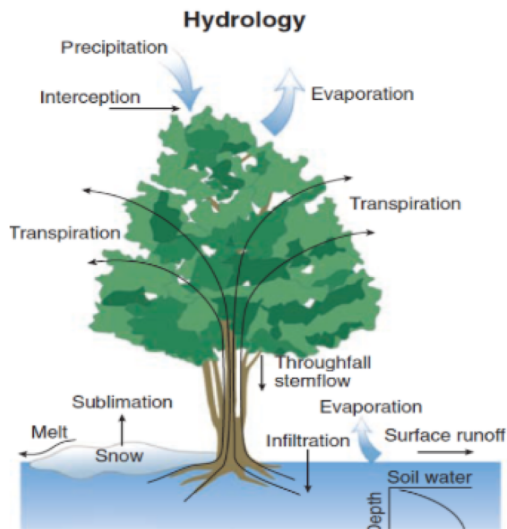


# Outline

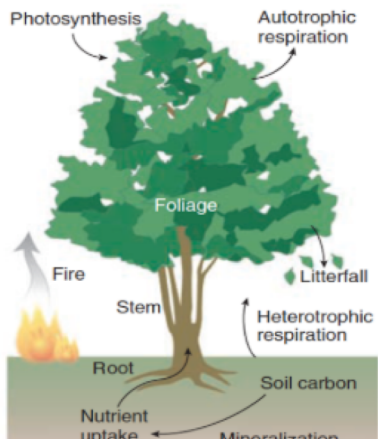
- Motivation for this research
- **ORCHIDEE Data Assimilation System (ORCHIDAS) and past studies**
- SIF DA set-up and results
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# ORCHIDEE terrestrial biosphere models (TBM)

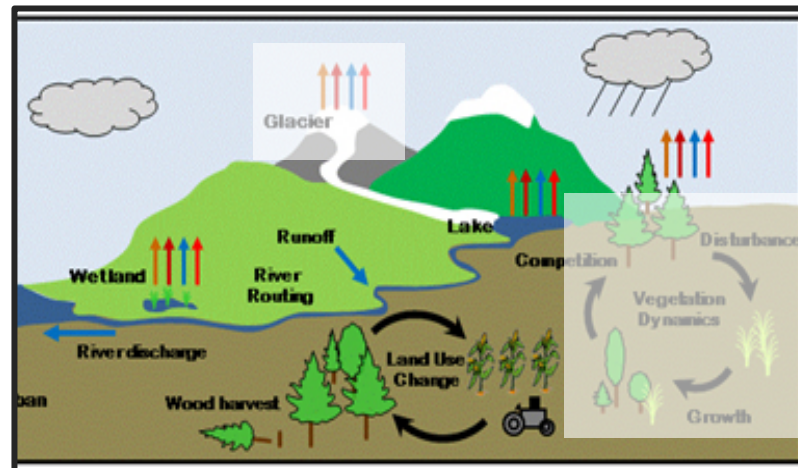
## ORCHIDEE TBM/LSM



### Carbon Cycle

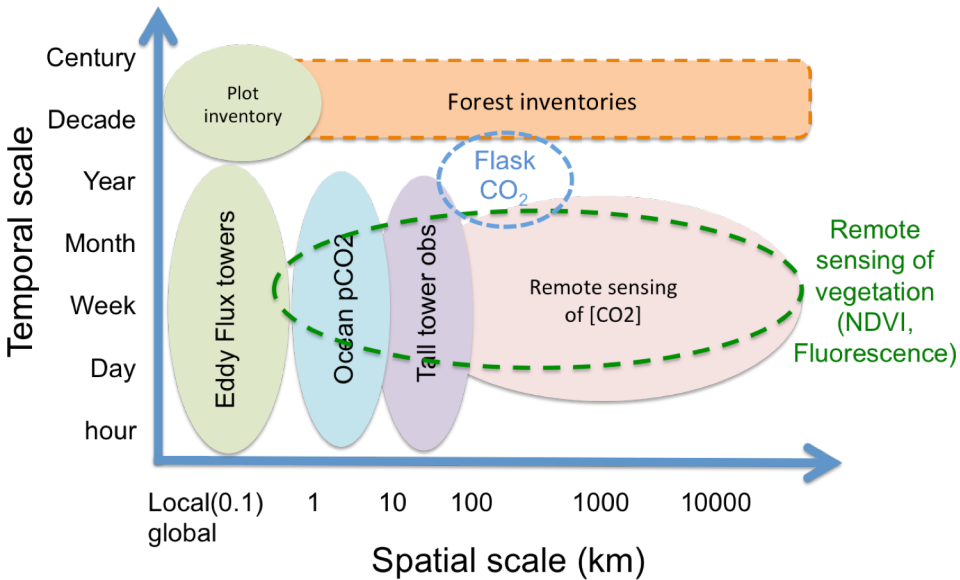


## 13 Plant Functional Types (PFTs)



# Reducing uncertainty: the need for model – data integration

## Available C-related data streams



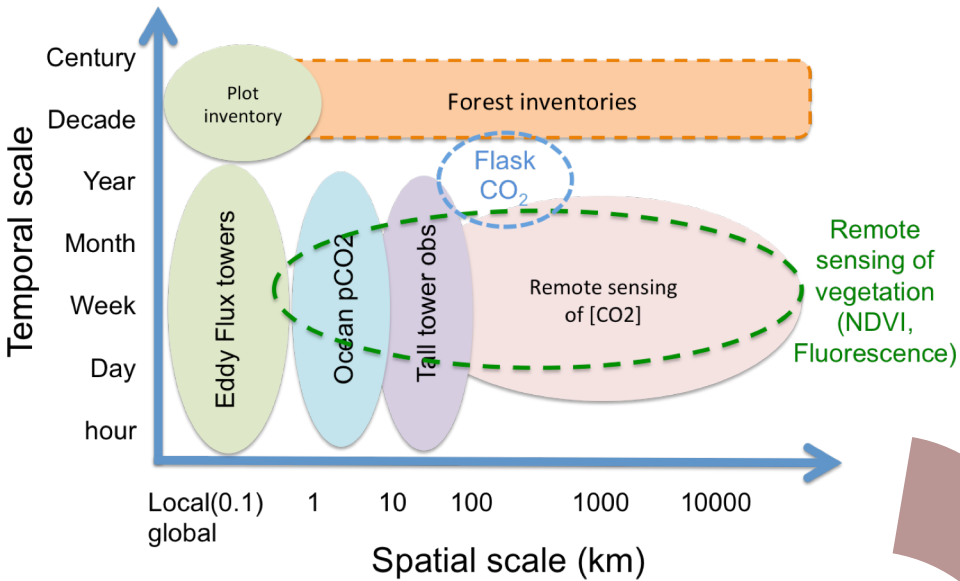
$$\begin{aligned}
 J(\mathbf{x}) = & \underbrace{\frac{1}{2}(\mathbf{M}(\mathbf{x}) - \mathbf{y})^T \mathbf{R}^{-1}(\mathbf{M}(\mathbf{x}) - \mathbf{y})}_{\text{Observation term}} \\
 & + \underbrace{\frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b)}_{\text{Prior parameter term}}
 \end{aligned}$$

Model    Parameters    Obs    Model-data mismatch

Prior parameters

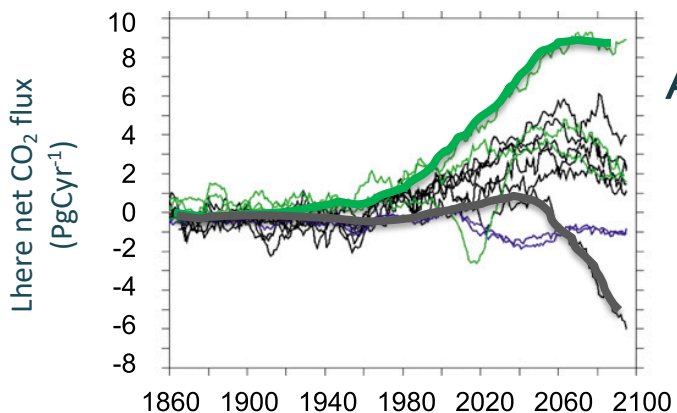
# Reducing uncertainty: the need for model – data integration

## Available C-related data streams



$$J(\mathbf{x}) = \underbrace{\frac{1}{2}(\mathbf{M}(\mathbf{x}) - \mathbf{y})^T \mathbf{R}^{-1}(\mathbf{M}(\mathbf{x}) - \mathbf{y})}_{\text{Observation term}} + \underbrace{\frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b)}_{\text{Prior parameter term}}$$

Labels for the equation: Model (points to  $\mathbf{M}(\mathbf{x})$ ), Parameters (points to  $\mathbf{x}$ ), Obs (points to  $\mathbf{y}$ ), Model-data mismatch (bracketed above the observation term), Prior parameters (points to  $\mathbf{x}_b$ ).

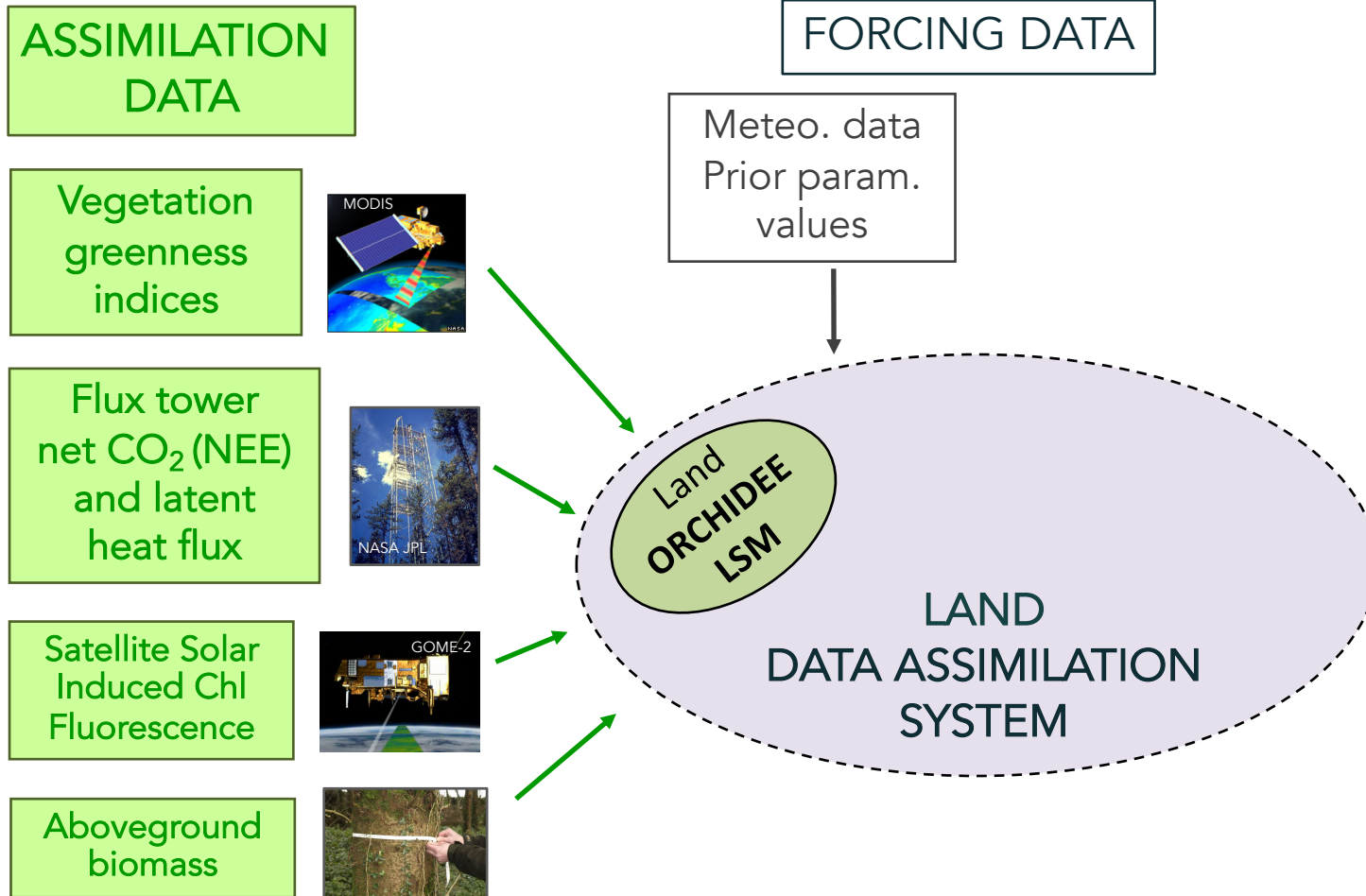


**DATA  
ASSIMILATION**

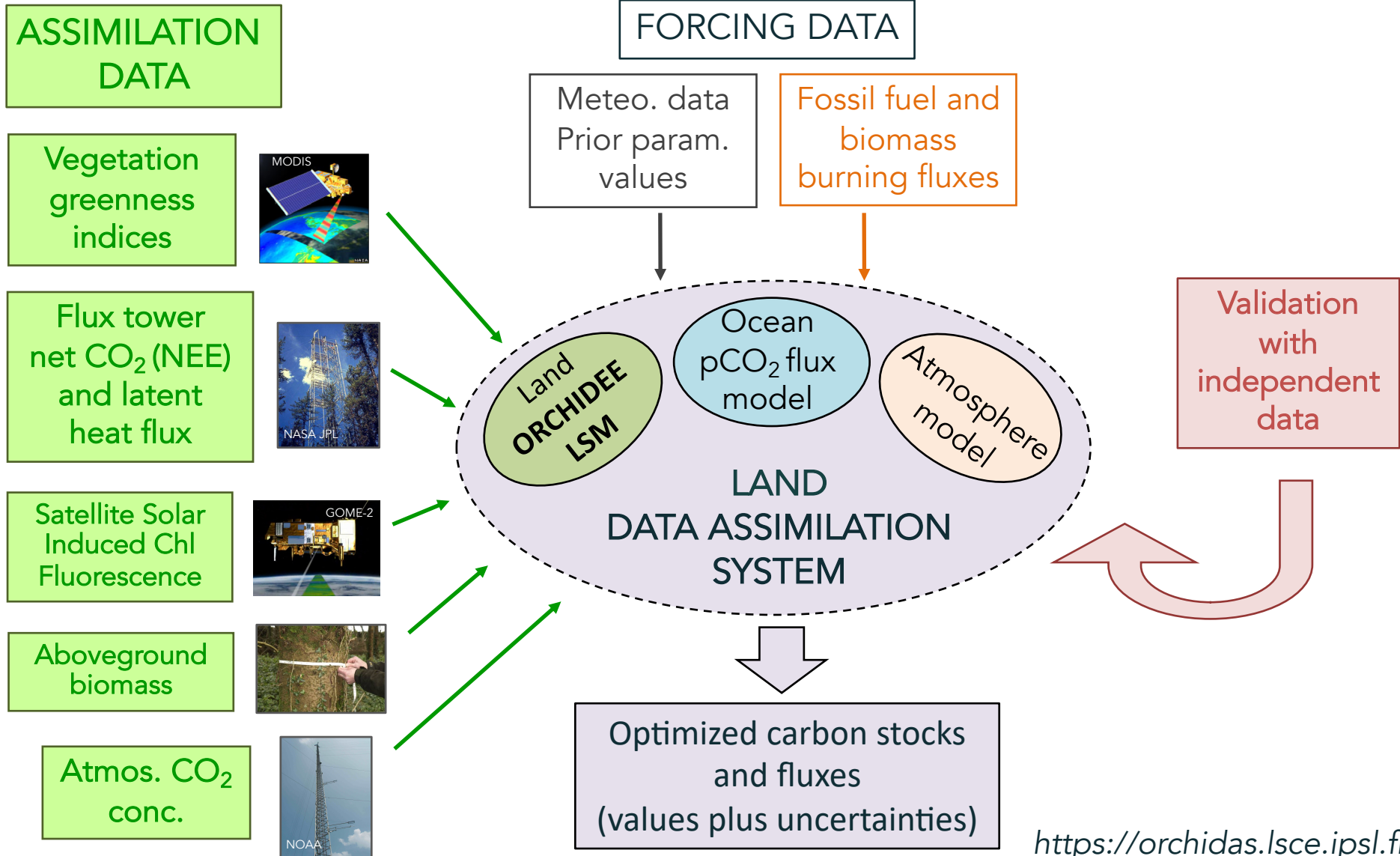
Improve:

- C land budget estimates
- Quantify & reduce uncertainty
- Future climate predictions
- Process understanding

# Global Data Assimilation System – ORCHIDEE LSM

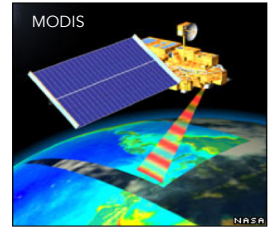
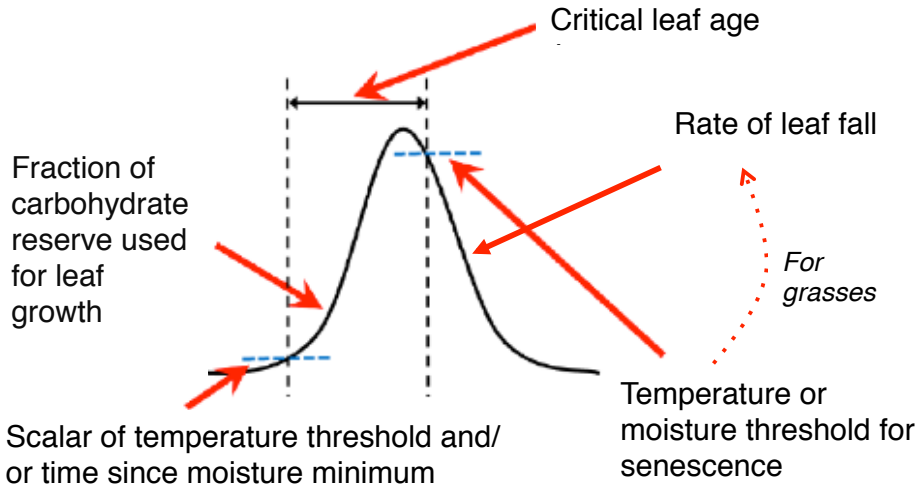


# Global Data Assimilation System – ORCHIDEE LSM



<https://orchidas.lsce.ipsl.fr>

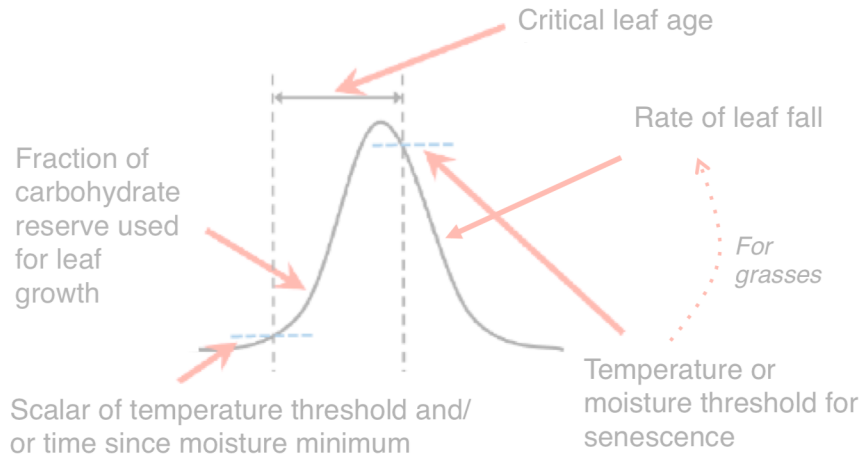
# Satellite NDVI to constrain leaf seasonal dynamics



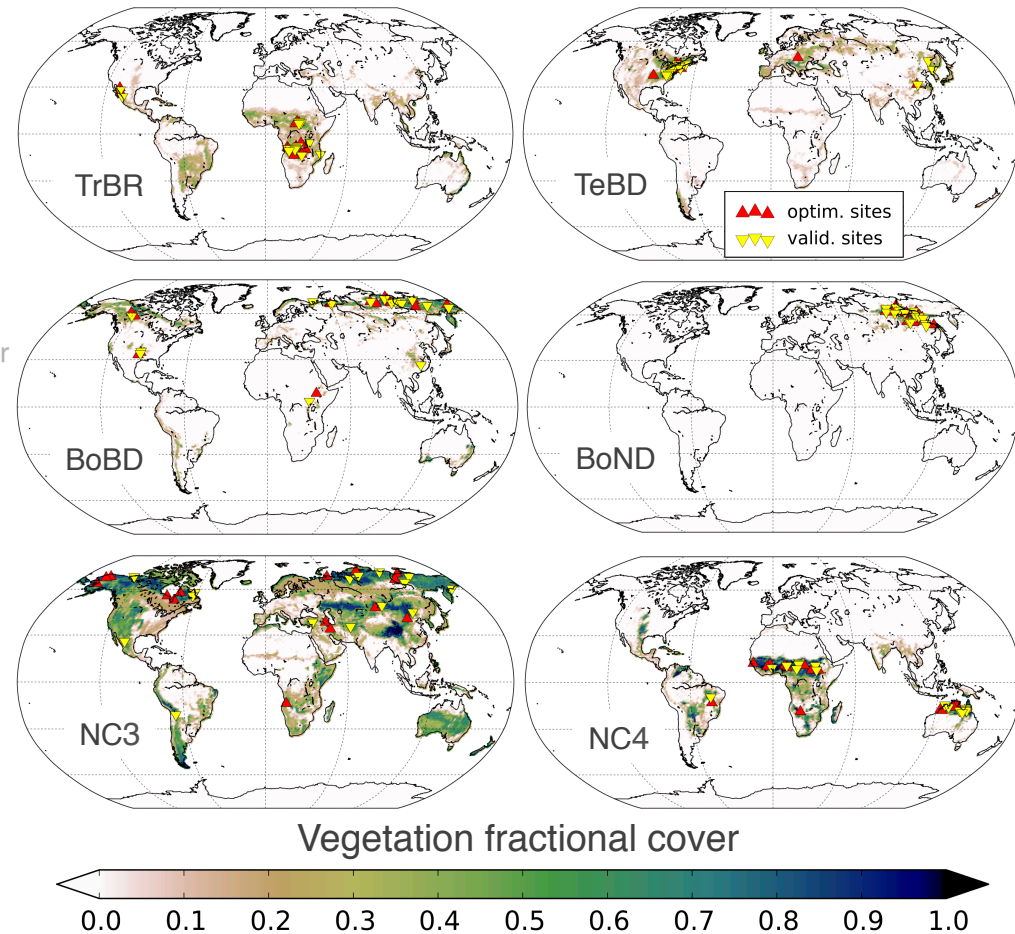
- Satellite NDVI compared to modeled fraction of absorbed photosynthetic radiation (FAPAR)
- FAPAR → LAI via Beer Lambert Law
- 4 – 6 parameters per plant functional type (PFT)



# Satellite NDVI to constrain leaf seasonal dynamics

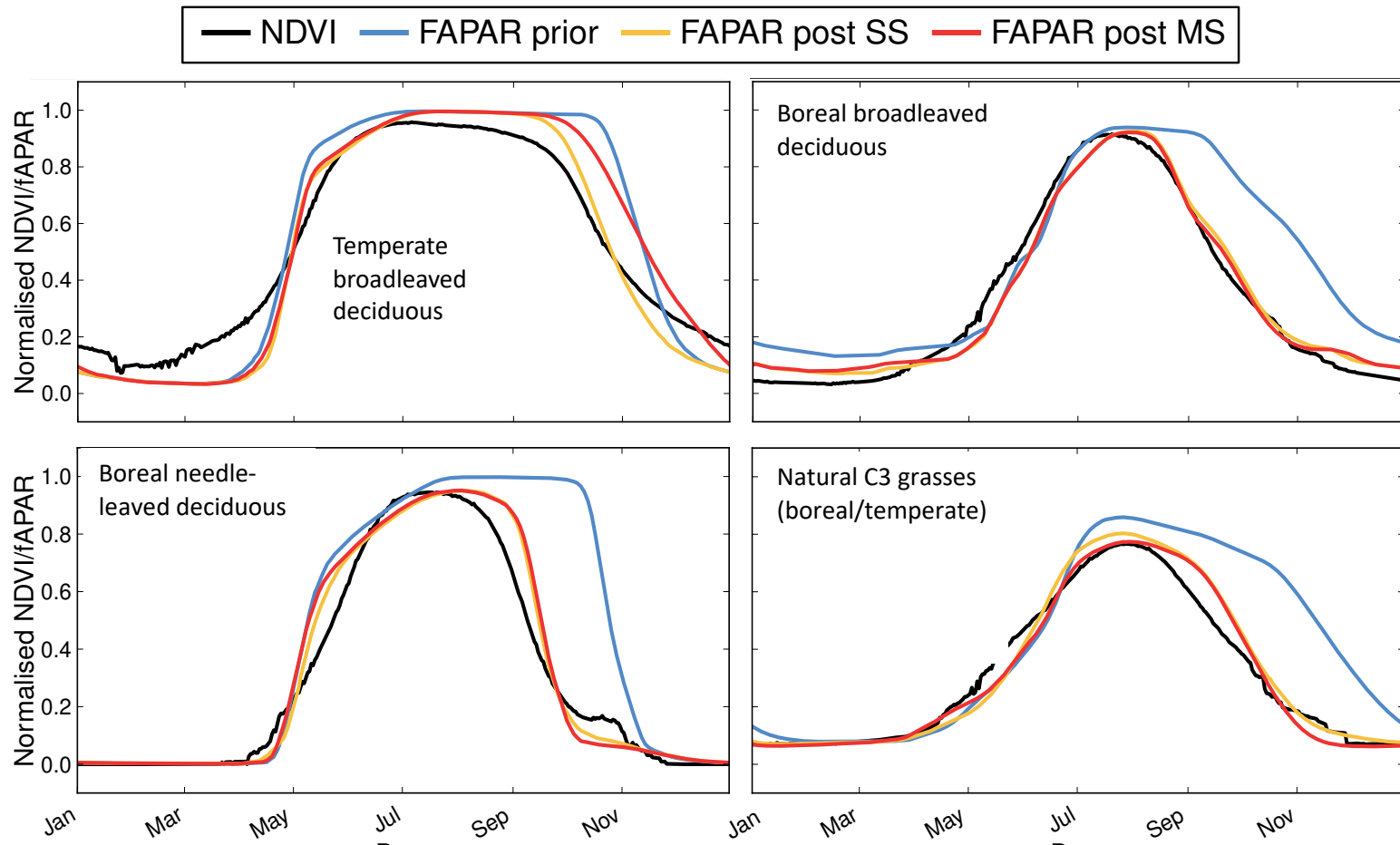


- Satellite NDVI compared to modeled fraction of absorbed photosynthetic radiation (FAPAR)
- FAPAR → LAI via Beer Lambert Law
- 4 – 6 parameters per plant functional type (PFT)
- 6 deciduous PFTs
- 15 random grid points per PFT

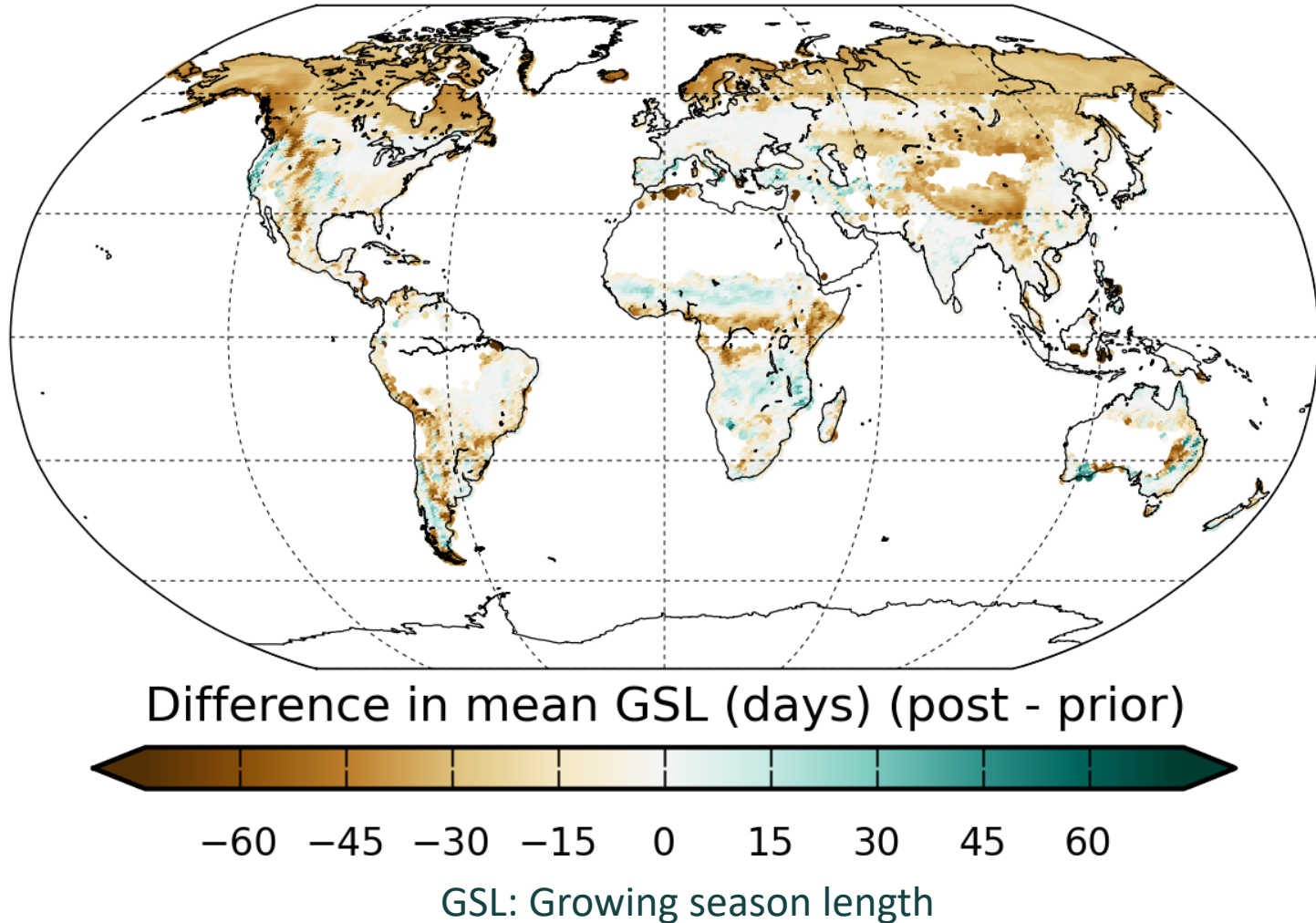


# Improvement in modeled leaf senescence...

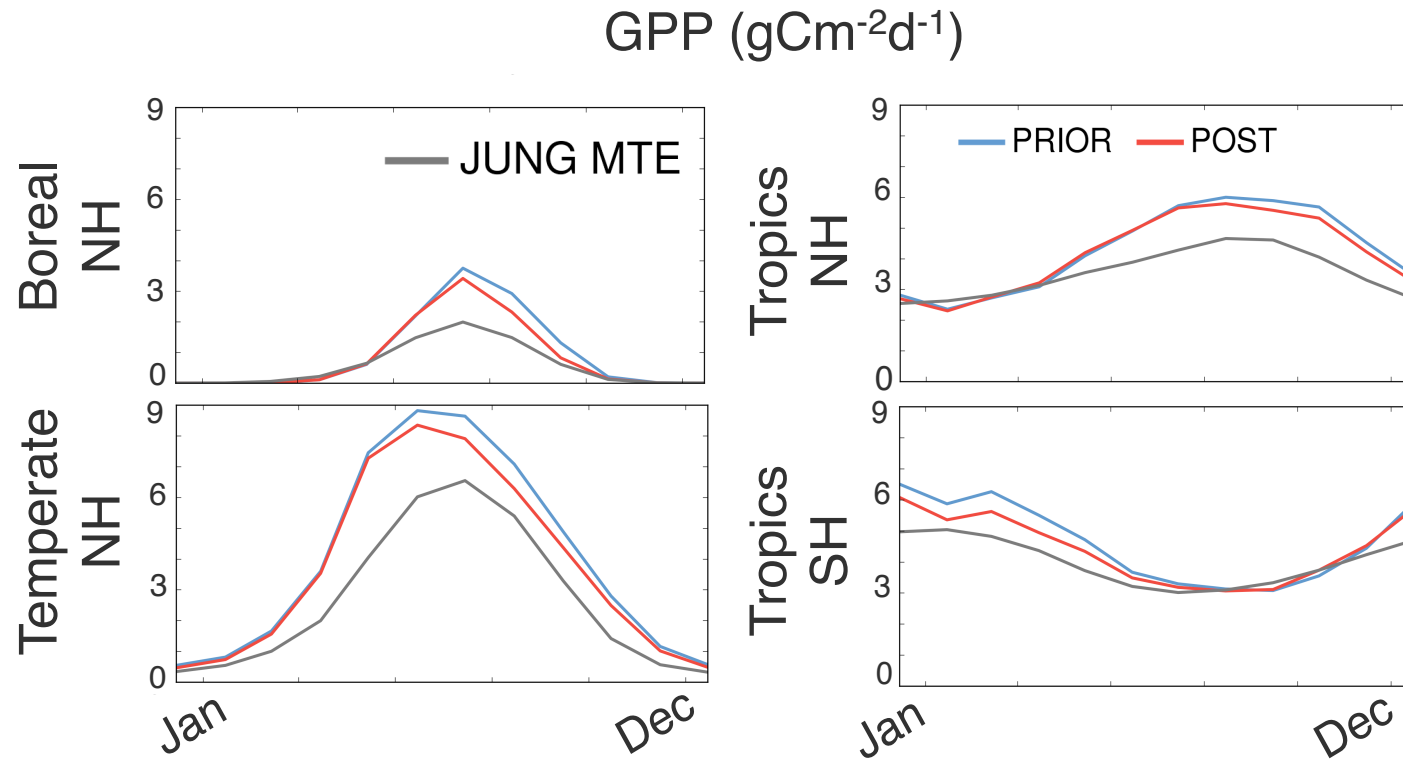
Mean seasonal cycle (2000-2008)



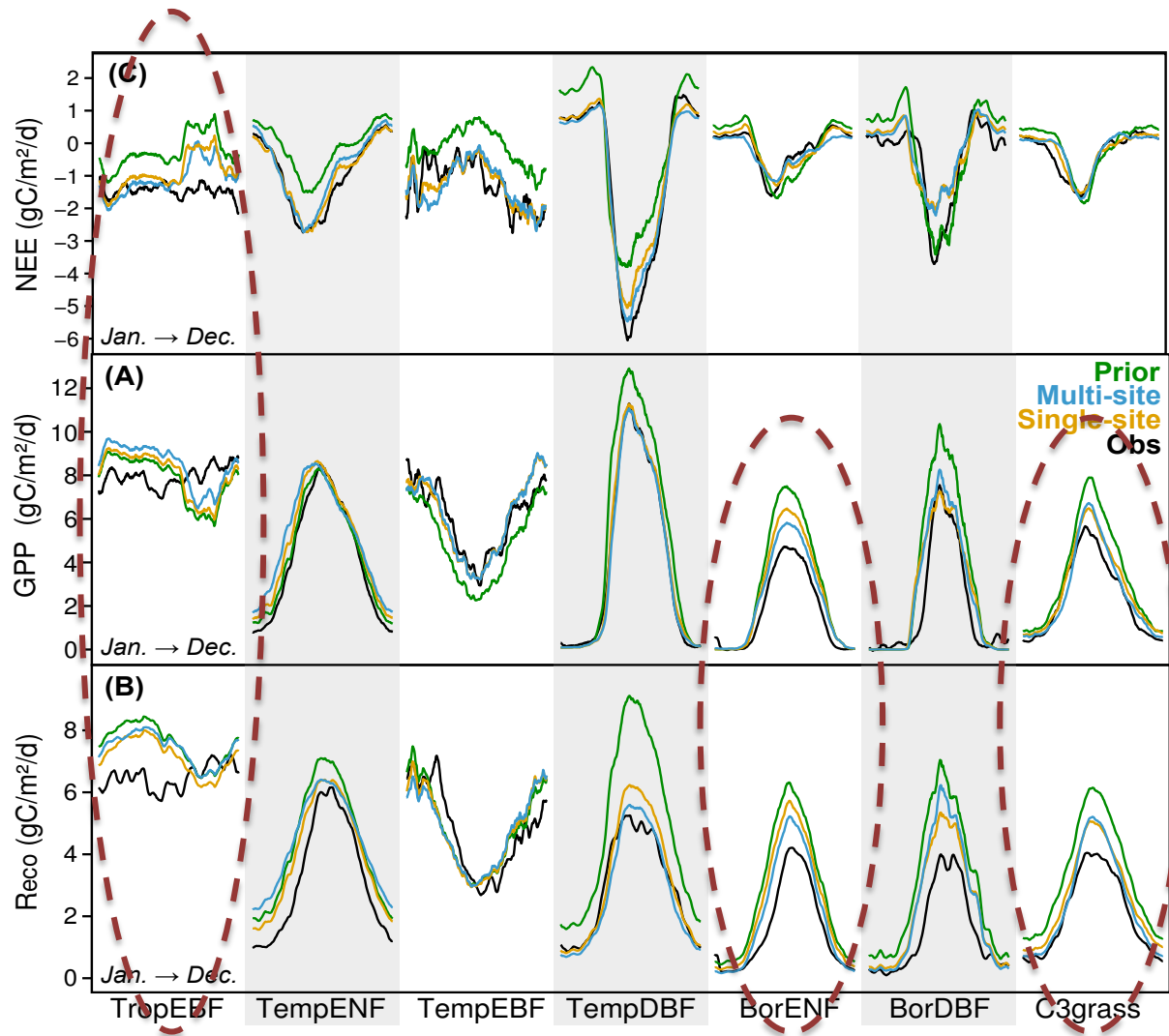
# Dramatic reduction in growing season length



# But not much change in GPP magnitude...



# Constraining "fast" processes using FLUXNET data

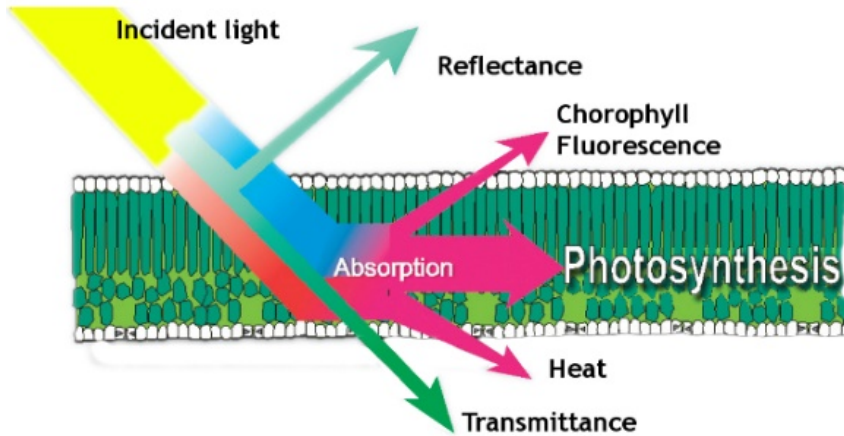


- NEE (and LE) → *not* gross C fluxes
- optimized "fast" C-related parameters (photosynthesis, respiration), phenology, water stress & some energy balance
- ~60 sites overall
- Improved fit to mean seasonal cycle

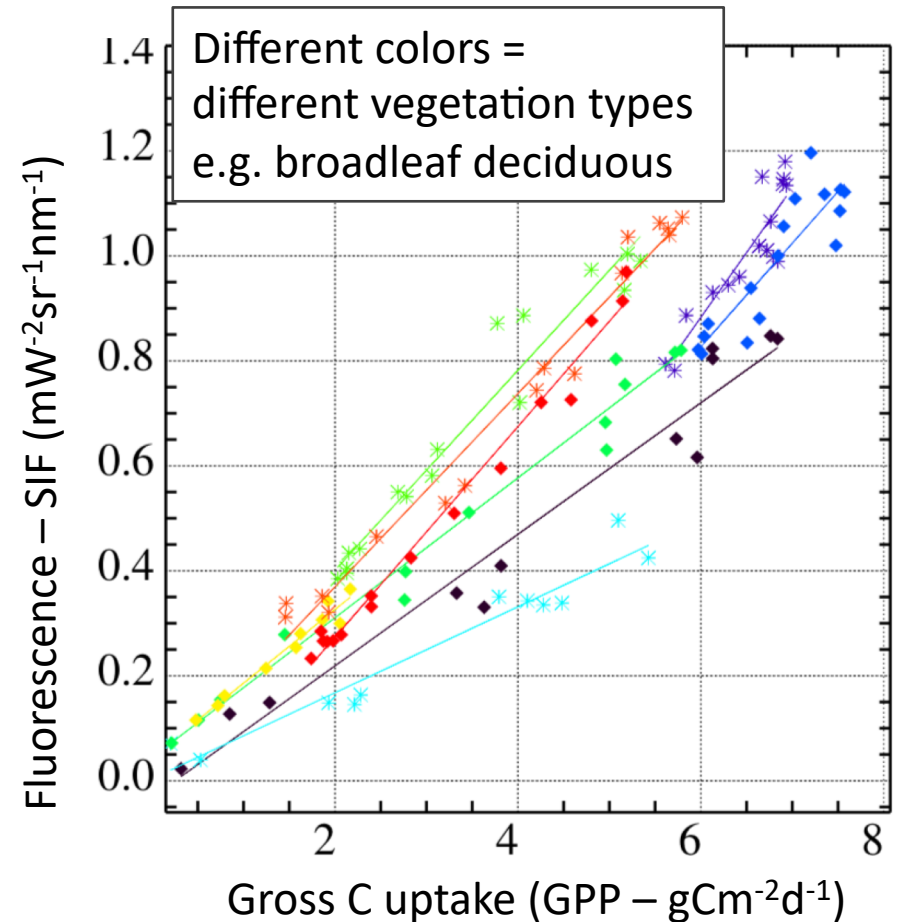
# Outline

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- ORCHIDEE Data Assimilation System (ORCHIDAS) and past studies
- **SIF DA set-up and results**
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# New data streams! Solar-induced chlorophyll fluorescence (SIF)



- Appears to be a linear relationship between SIF and GPP at large spatial ( $>0.5^\circ$ ) & temporal ( $\sim$ monthly) scales
- Slope dependent on vegetation type/structure
- Damm et al. (2015); Zhang et al. (2016); Goulas et al., (2017); Verma et al. (2017); Wood et al. (2017)



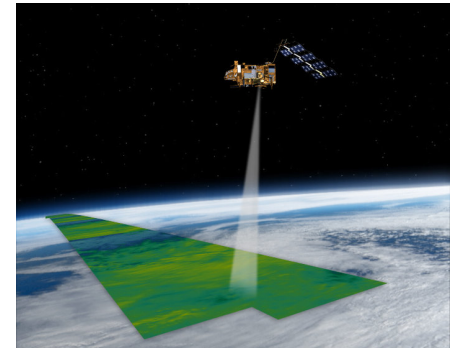
*Guanter et al. (2012)*

# Optimization set-up

- Assume simple empirical linear relationship between GPP and SIF:

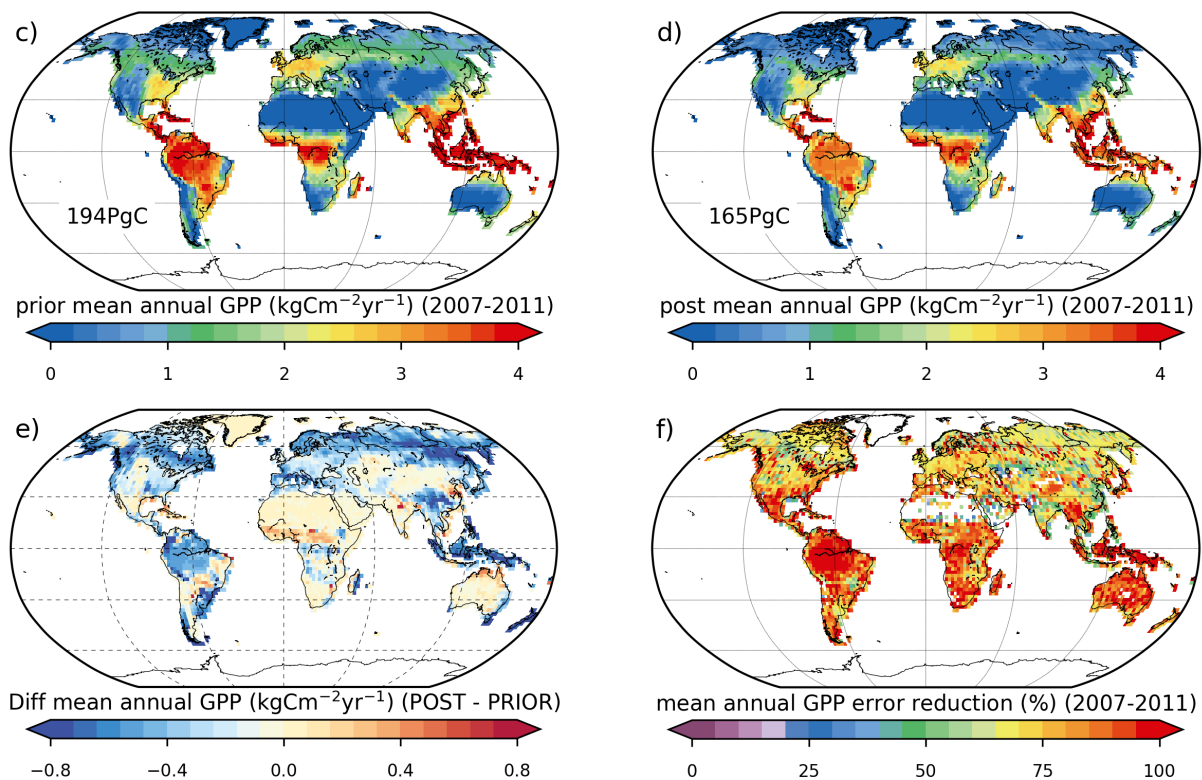
$$SIF = a GPP + b$$

- Use GOME2 SIF data (Köhler et al., 2015)
  - monthly aggregated SIF, 0.5x0.5° resolution, 2007-2011
- Constrain 'a' and 'b' (slope and offset) parameters of linear GPP – SIF relationship – in addition to 6 photosynthesis and 9 phenology parameters for ALL vegetated PFTs
- 15 grid cells chosen randomly per PFT (where obs available) (fractional cover > 0.6)  
→ all 12 vegetated PFTs = total 180 sites
- 12-16 parameters per PFT
- Multi-site optimization performed for each PFT
- Prior obs uncertainty (**R**) set to RMSE between model & data
- Parameter uncertainty (**B**) 40% of range
- Impact at global scale → global simulations following standard protocol (spinup + transient). Cf Jung et al. MTE-GPP





# SIF data constraint reduces GPP magnitude

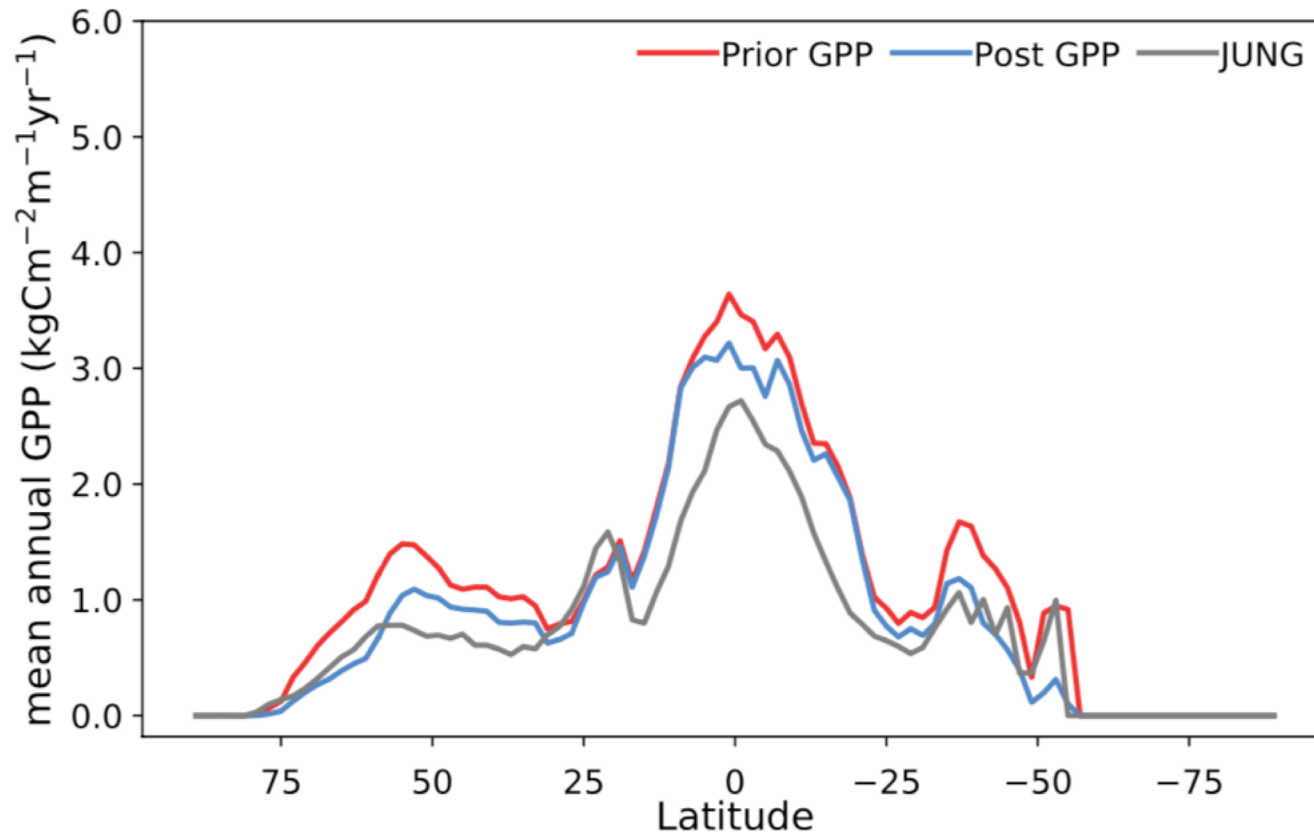


- Decrease in global GPP magnitude for all PFTs
- ...except for moisture-driven PFTs
- Highest decrease in NH extra tropics → shift in global GPP distribution
- Strong reduction in uncertainty (~83%)

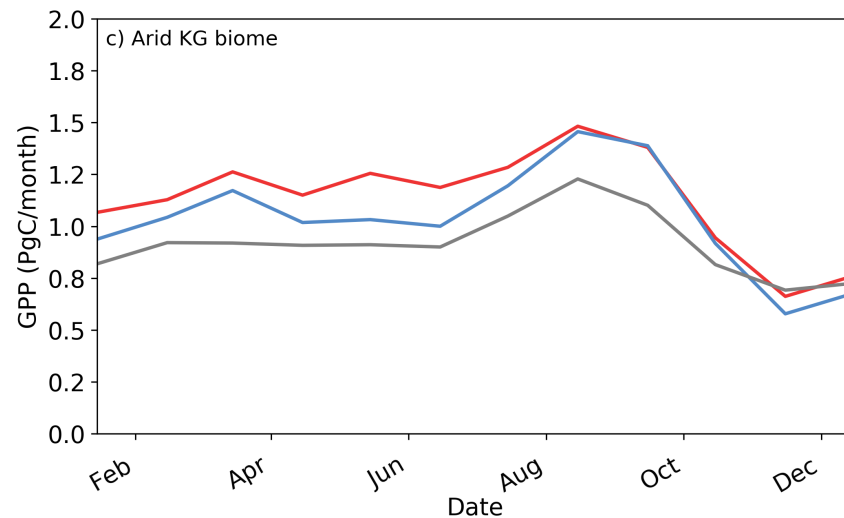
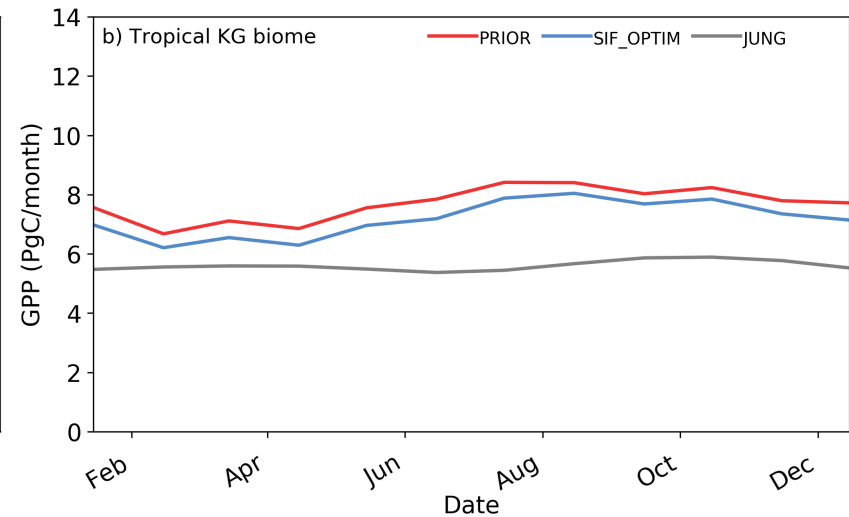
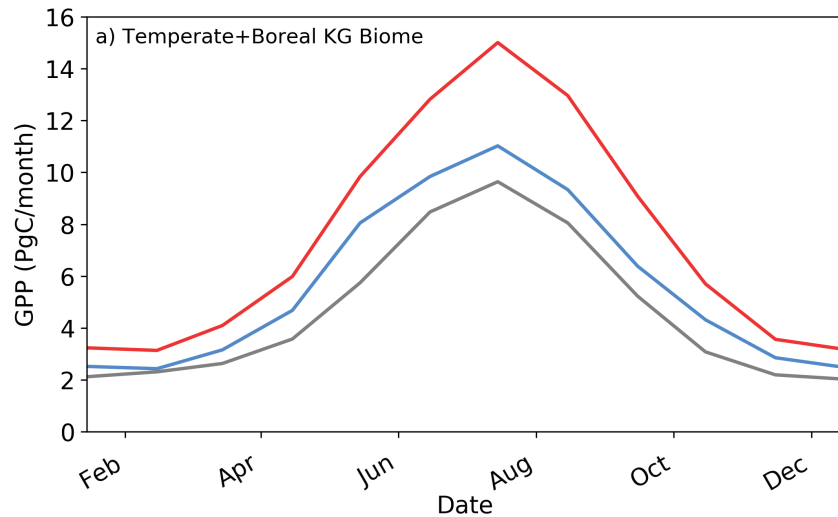
Region/PFT	Prior mean annual GPP (PgC)	Posterior mean annual GPP (PgC)	Reduction in annual GPP uncertainty (%)
Global	194.4	165.6	82.8
Temperate + boreal KG biome	88.6	67.1	67
Tropical KG biome	92.2	86.1	93.4
Arid KG biome	13.6	12.4	88.9

MacBean, N. et al. (2018), Strong constraint on modelled global carbon uptake using solar-induced chlorophyll fluorescence data, *Scientific Reports*, 8, 1973.

# Shifts in spatial distribution (important for global C sink)



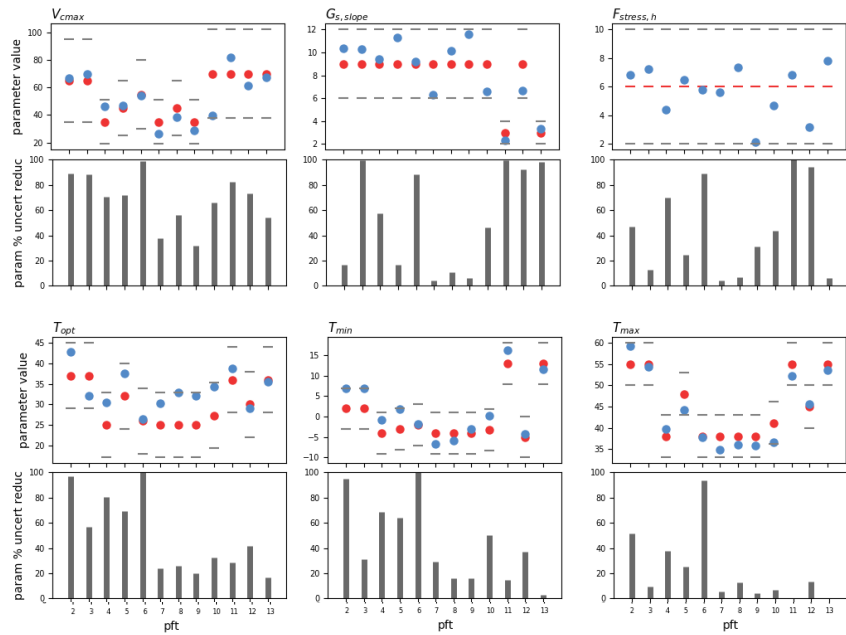
# SIF data assimilation *does* reduce GPP magnitude



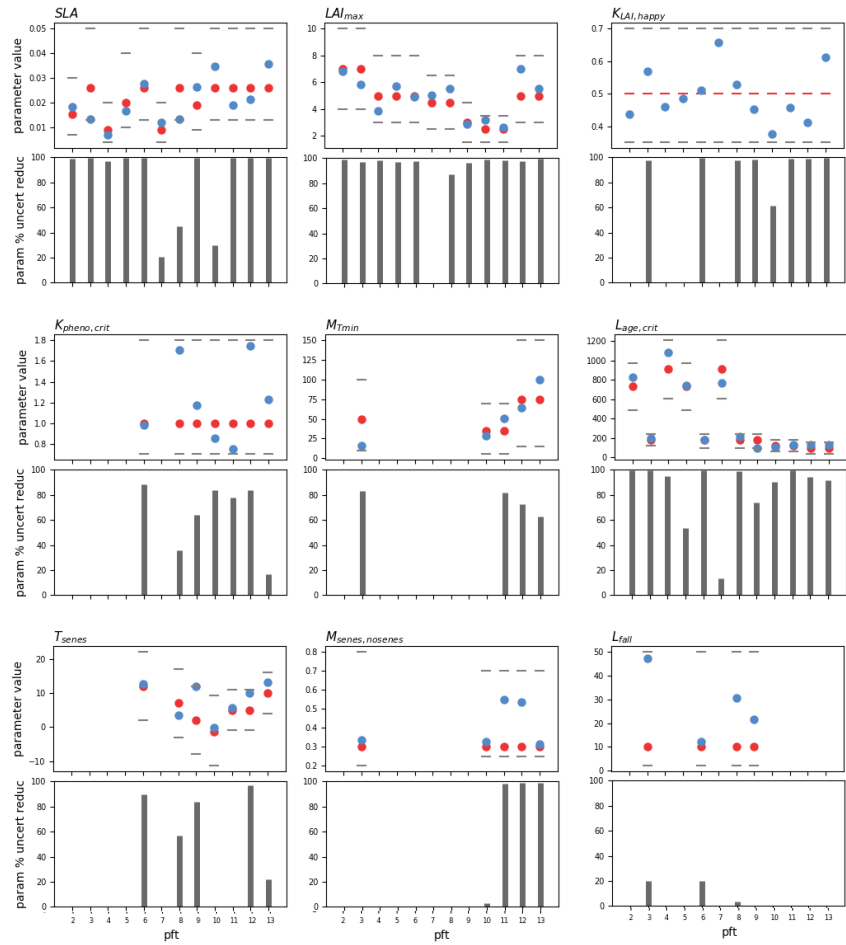
Region/PFT	Prior mean monthly SIF-GPP correlation	Posterior mean monthly SIF-GPP correlation
Global	0.72	0.74
Temperate + boreal KG biome	0.77	0.77
Tropical KG biome	0.5	0.5
Arid KG biome	0.59	0.61

# Parameter constraint

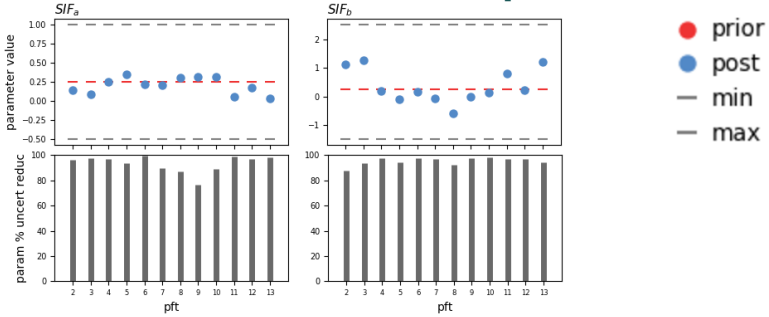
## Photosynthesis



## Phenology



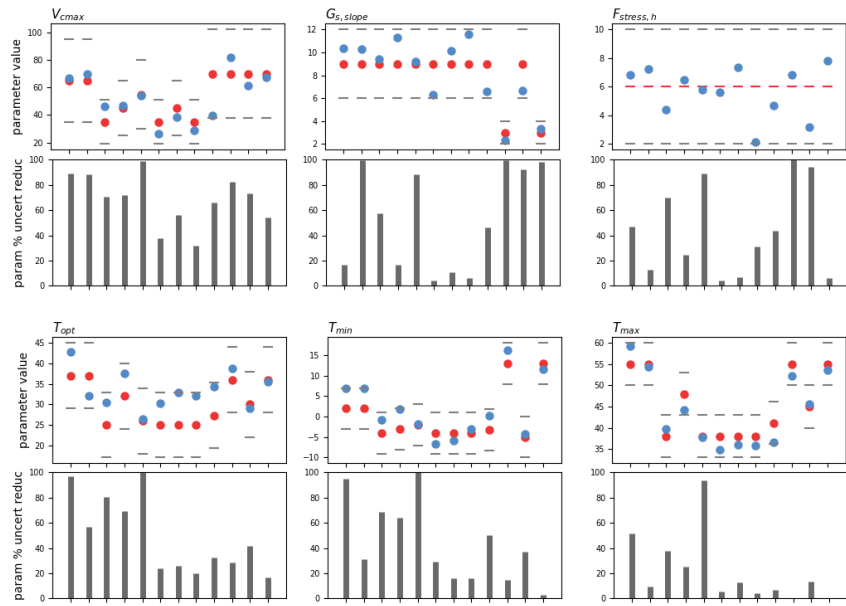
## Linear SIF-GPP reln parameters



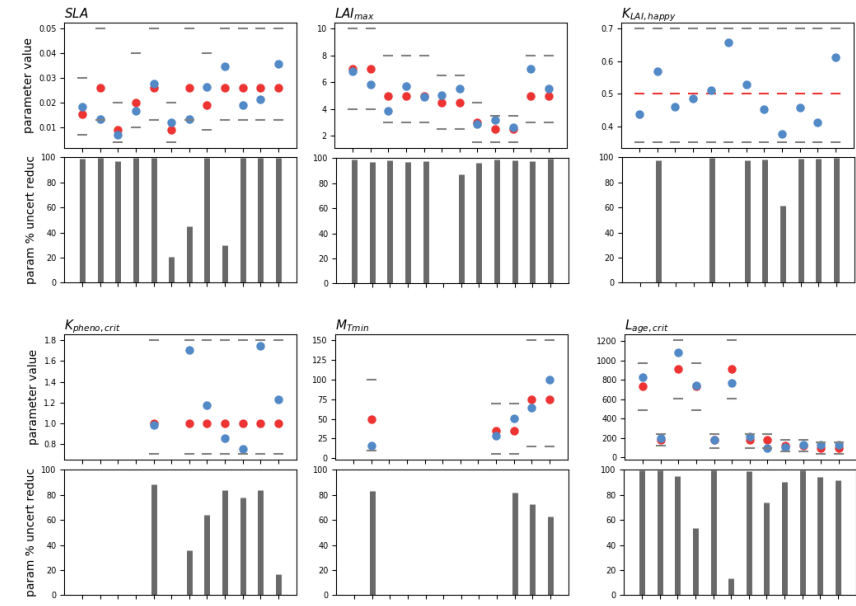
MacBean, N. et al. (2018), Strong constraint on modelled global carbon uptake using solar-induced chlorophyll fluorescence data, *Scientific Reports*, 8, 1973.

# Parameter constraint

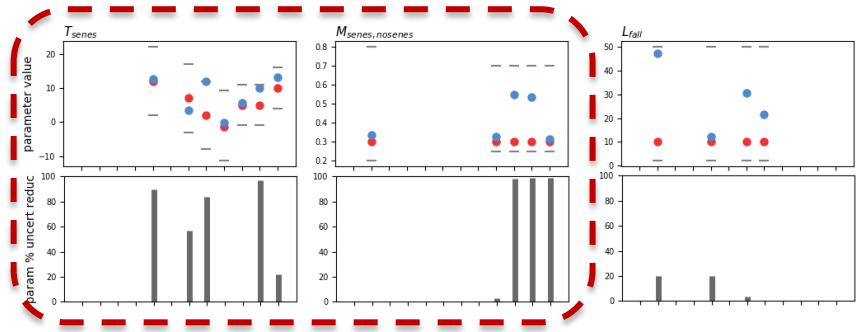
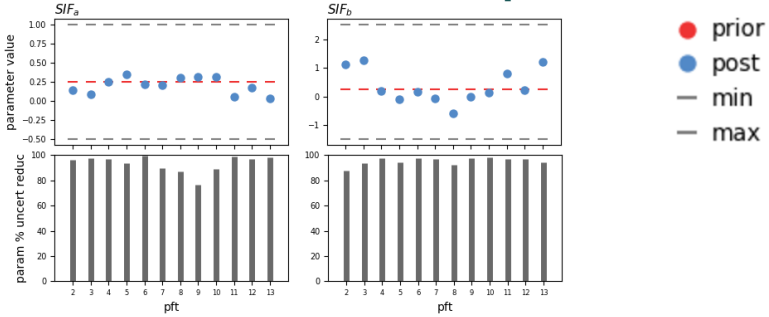
## Photosynthesis



## Phenology



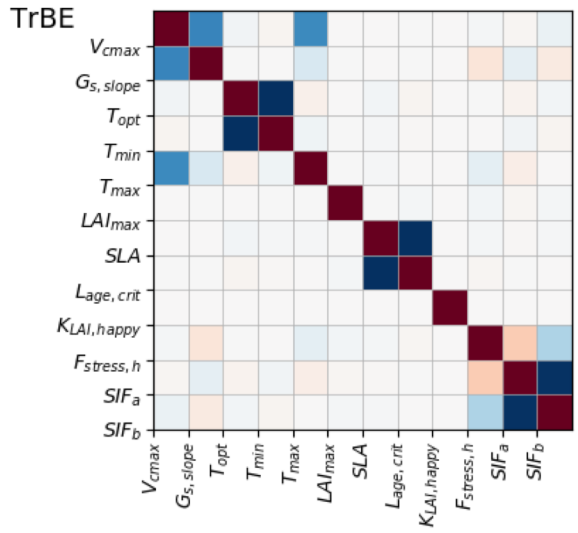
## Linear SIF-GPP reln parameters



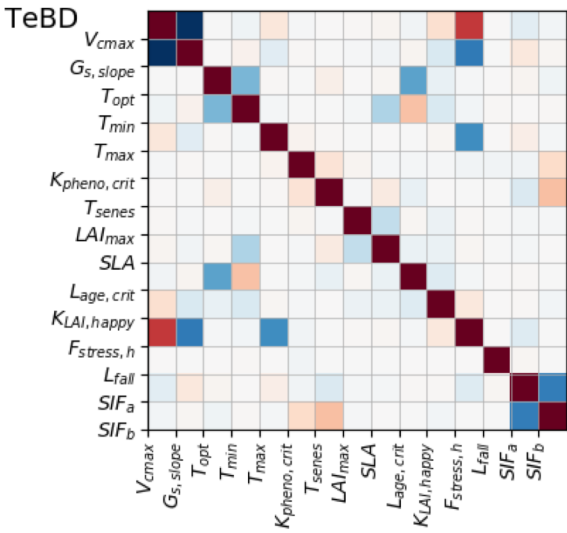
MacBean, N. et al. (2018), Strong constraint on modelled global carbon uptake using solar-induced chlorophyll fluorescence data, *Scientific Reports*, 8, 1973.

# Parameter covariance

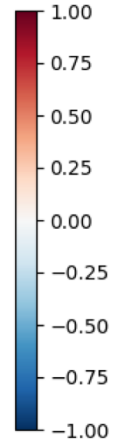
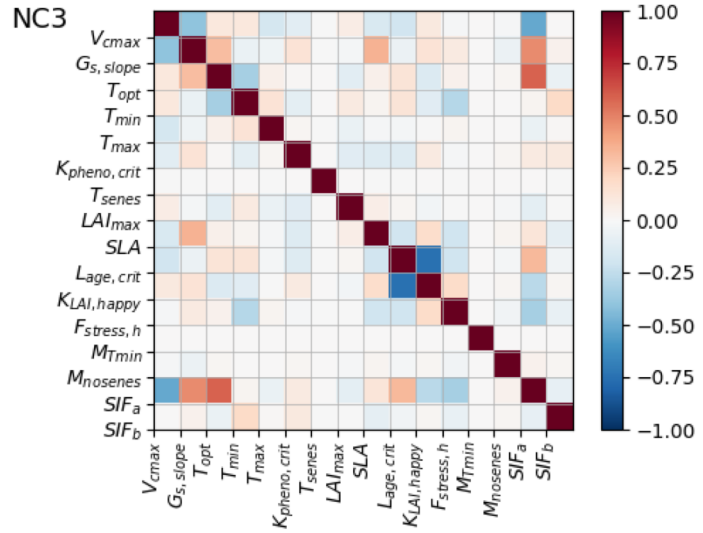
## Tropical Broadleaved Evergreen



## Temperate Broadleaved Deciduous

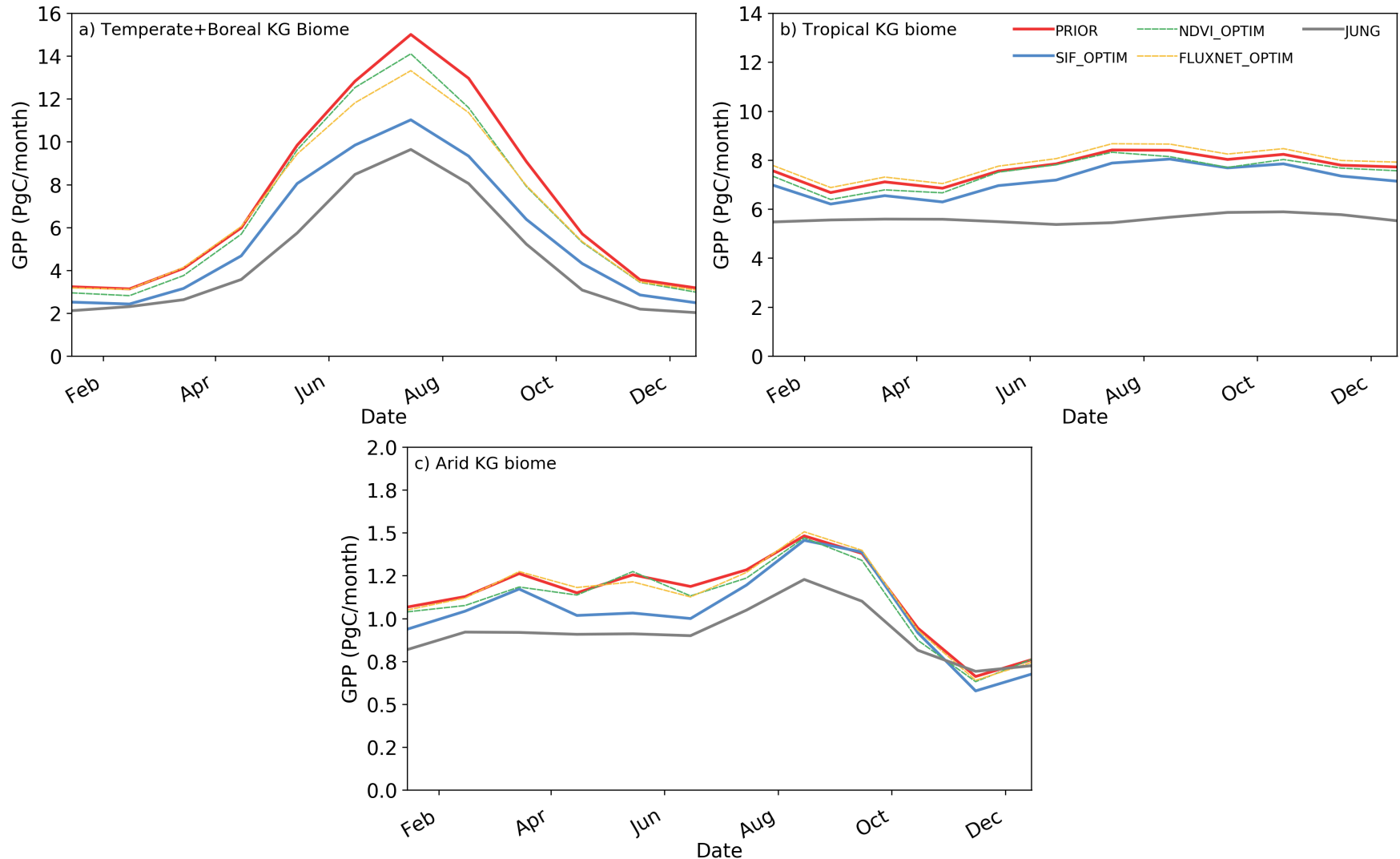


## Natural C3 grass



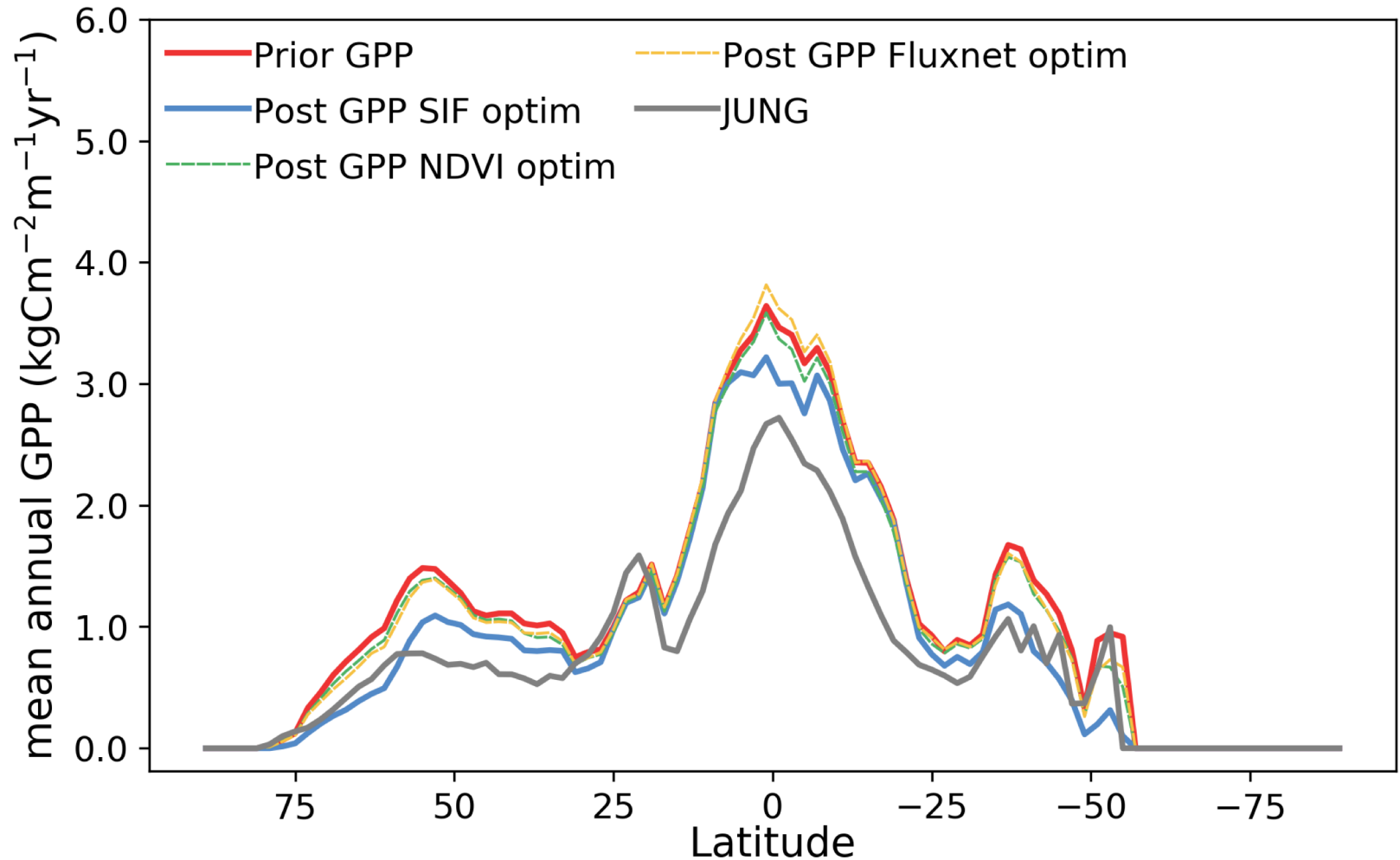
MacBean, N. et al. (2018), Strong constraint on modelled global carbon uptake using solar-induced chlorophyll fluorescence data, *Scientific Reports*, 8, 1973.

# Comparison to NDVI and FLUXNET NEE



MacBean, N. et al. (2018), Strong constraint on modelled global carbon uptake using solar-induced chlorophyll fluorescence data, *Scientific Reports*, 8, 1973.

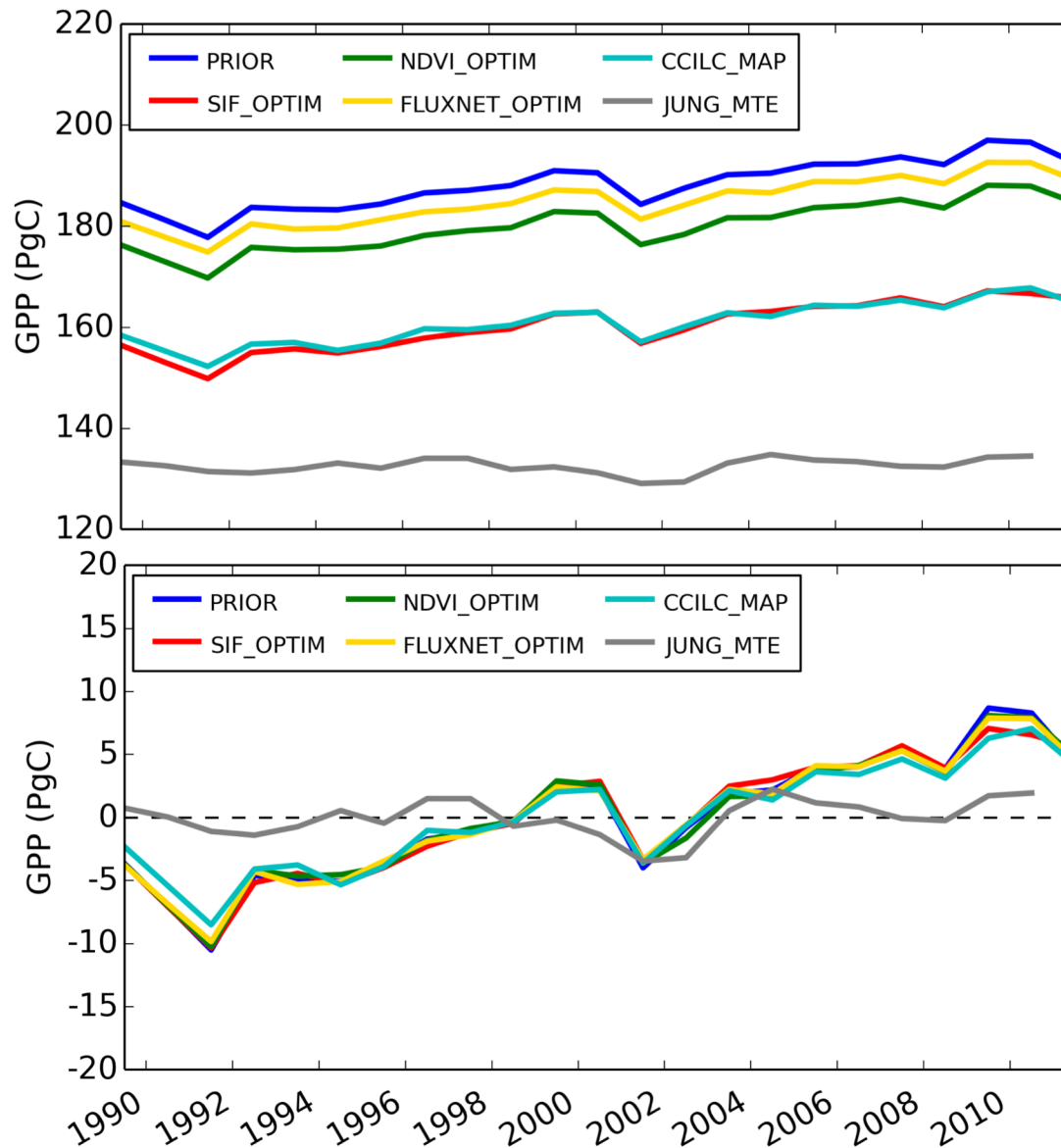
# Comparison to NDVI and FLUXNET NEE



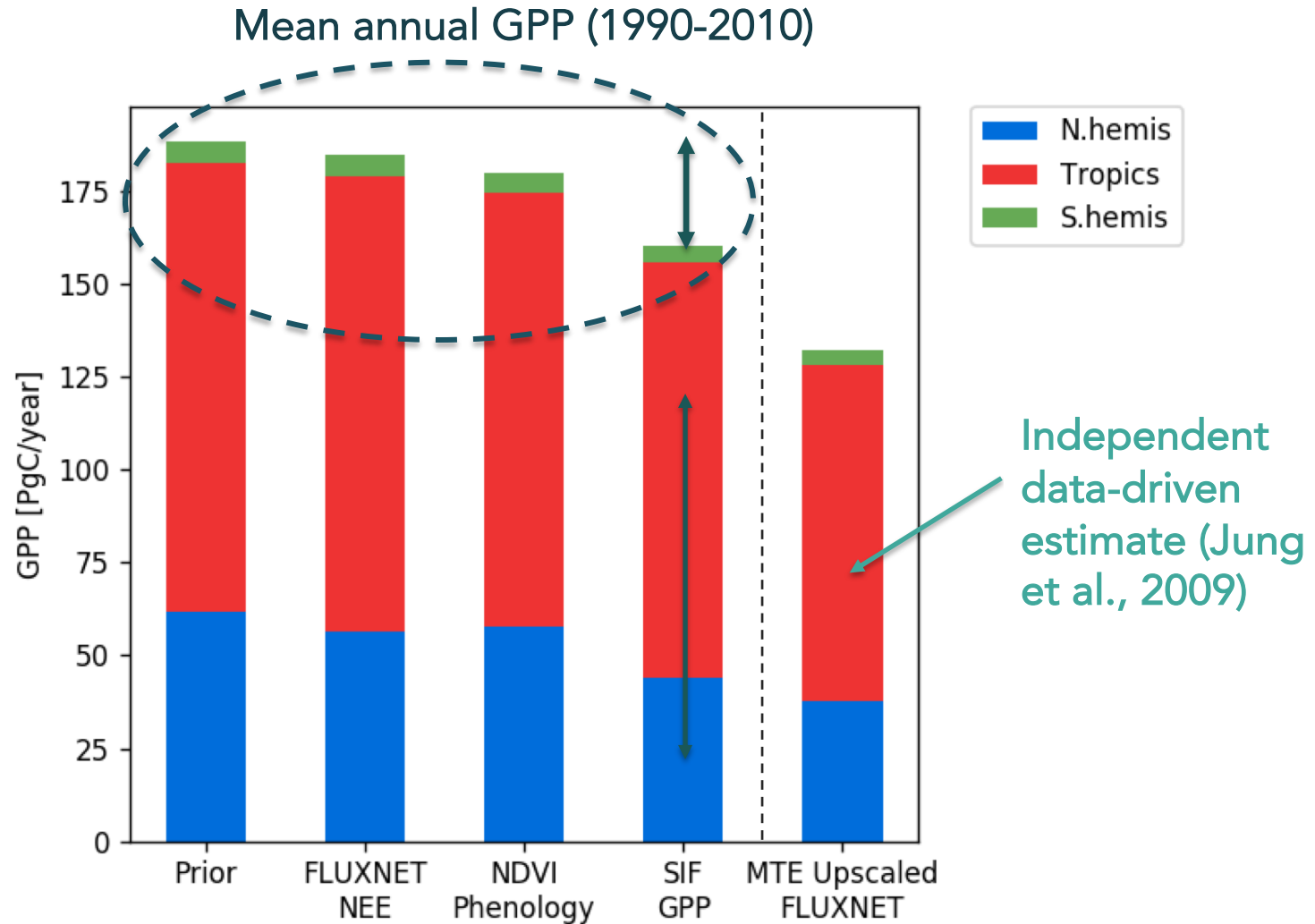
MacBean, N. et al. (2018), Strong constraint on modelled global carbon uptake using solar-induced chlorophyll fluorescence data, *Scientific Reports*, 8, 1973.



# Annual time series and anomalies



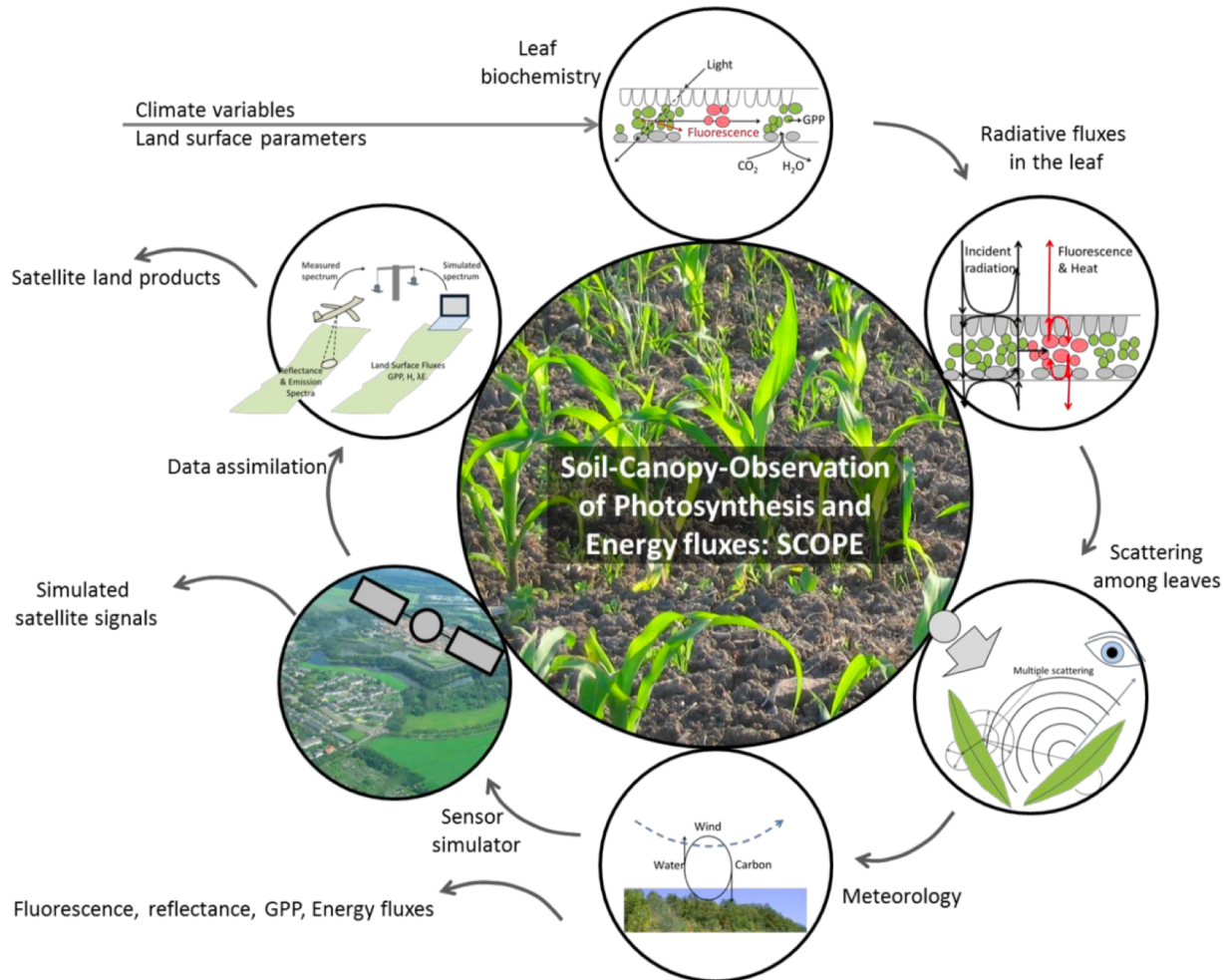
# SIF helps us constrain GPP global magnitude and spatial distribution



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# Future work in the ORCHIDAS group... Implementation of SCOPE (and a note on model complexity)



Upcoming paper by Bacour et al.

van der Tol et al.

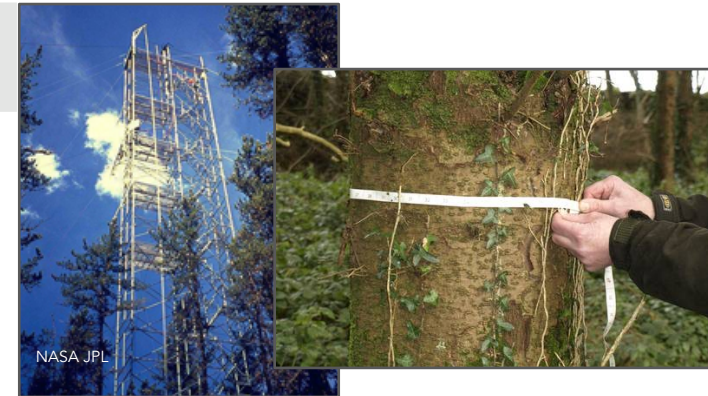
# Challenges and progress in using multiple datasets to constrain models



## Agricultural and Forest Meteorology

The potential benefit of using forest biomass data in addition to carbon and water flux measurements to constrain ecosystem model parameters: Case studies at two temperate forest sites

T. Thum<sup>a,\*</sup>, N. MacBean<sup>b</sup>, P. Peylin<sup>b</sup>, C. Bacour<sup>c</sup>, D. Santaren<sup>b</sup>, B. Longdoz<sup>d</sup>, D. Loustau<sup>e</sup>, P. Ciais<sup>b</sup>



## Journal of Geophysical Research: Biogeosciences



Joint assimilation of eddy covariance flux measurements and FAPAR products over temperate forests within a process-oriented biosphere model

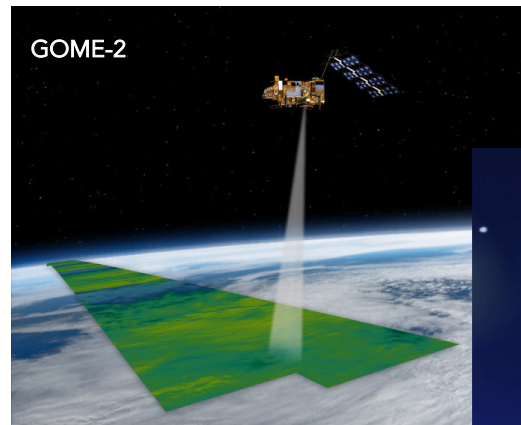
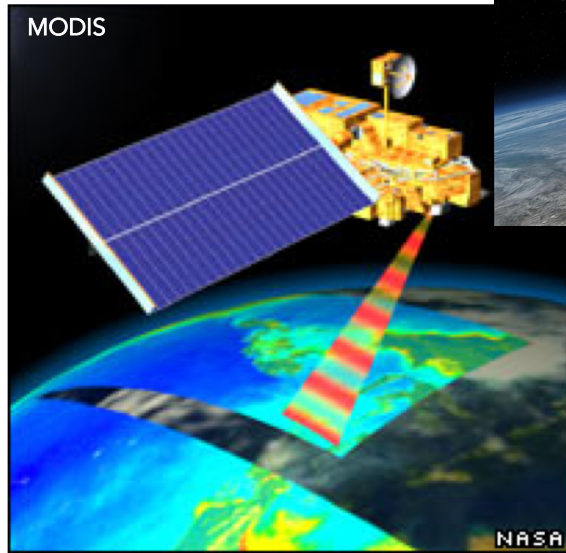
C. Bacour<sup>1,2</sup>, P. Peylin<sup>2</sup>, N. MacBean<sup>2</sup>, P. J. Rayner<sup>2,3</sup>, F. Delage<sup>2,4</sup>, F. Chevallier<sup>2</sup>, M. Weiss<sup>5</sup>, J. Demarty<sup>5,6</sup>, D. Santaren<sup>7,8</sup>, F. Baret<sup>5</sup>, D. Berveiller<sup>9</sup>, E. Dufrêne<sup>9</sup>, and P. Prunet<sup>1</sup>

Geosci. Model Dev., 9, 3569–3588, 2016

## Consistent assimilation of multiple data streams in a carbon cycle data assimilation system

Natasha MacBean<sup>1</sup>, Philippe Peylin<sup>1</sup>, Frédéric Chevallier<sup>1</sup>, Marko Scholze<sup>2</sup>, and Gregor Schürmann<sup>3</sup>

# Future work in the ORCHIDAS group... OCO-2 & TROPOMI? SIF + NDVI? SIF + LAI? SIF + PRI? SIF + COS? SIF + NEE?

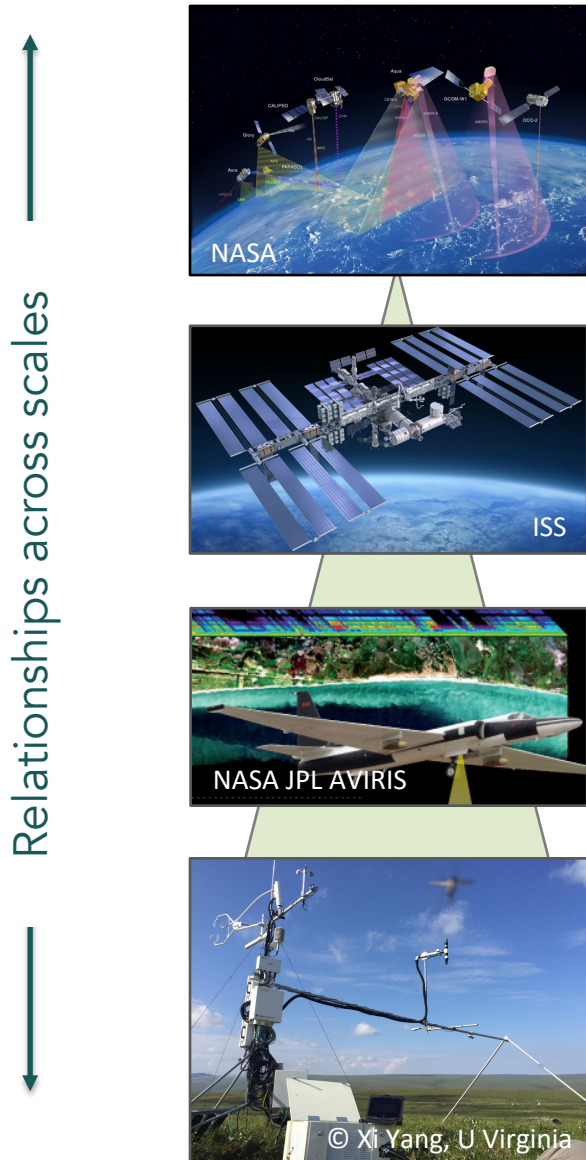


**Resolve any inconsistencies between SIF datasets and between SIF and other data ...and crucially -- with model !**

*See upcoming paper by Bacour et al.*

# Different relationships across scales?

→ If so, how do we account for that in a process-based model and DA system?

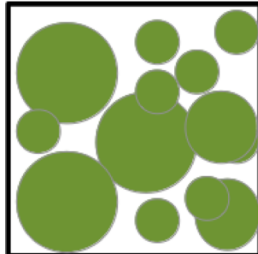
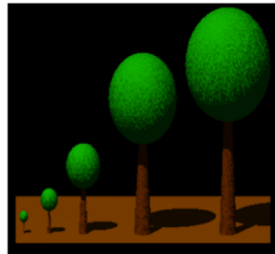


Global scale questions  
also relevant at local  
scales

Ecosystem-level  
processes important for  
larger scales

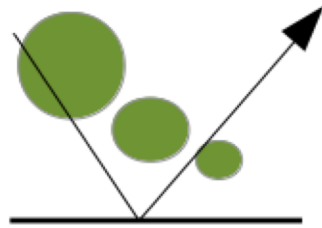
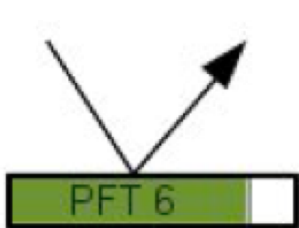
# Ongoing/future work using SIF in the ORCHIDEE group

Vegetation fraction



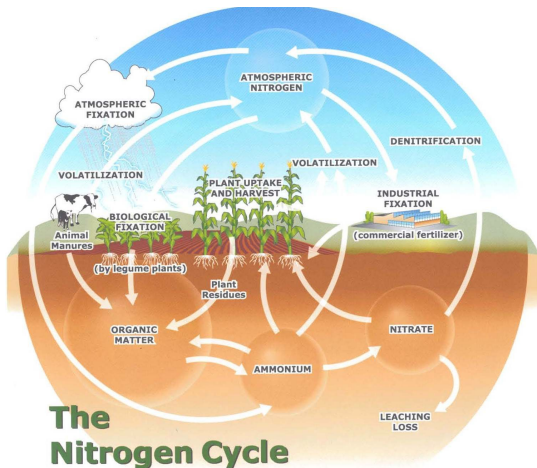
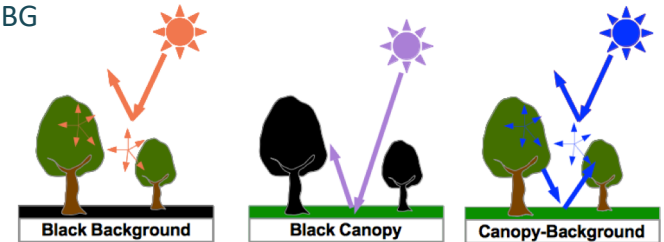
➤ New "gap fraction" model

ORCHIDEE-CAN: Naudts et al. (2015)  
*Geosci. Model. Dev.*, 8, 2035-2065

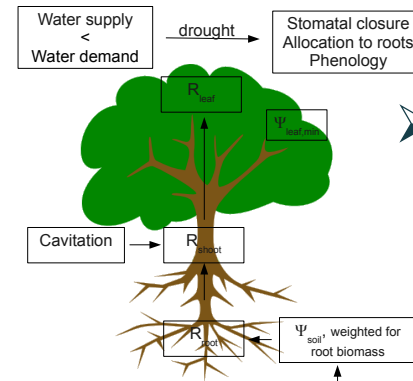
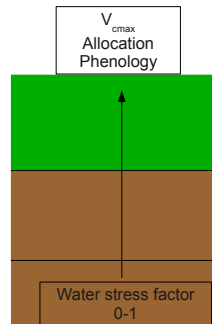


➤ New radiative transfer/albedo scheme

Otto et al. (2014) BG



Vuichard et al. ORCHIDEE-CN, *submitted*



➤ New plant hydraulic architecture



# Summary

- Revised simulated global GPP budget *consistent* with ORCHIDEE structure (by optimising parameters as well as SIF – GPP relationship)
- SIF appears to provide stronger (parameter) constraint on GPP than NDVI or FLUXNET
- Most constraint on magnitude (peak) and shorter GSL in NH → change in global GPP distribution
- No considerable change in trends on IAV
- Lots of work still to be done, especially regarding consistencies between datasets.

# Thank you for listening! Any questions?



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