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## **Key Points:**

- Annual and semiannual cycles of CO<sub>2</sub> from multiple satellites and in situ data
- Information contents of satellite CO<sub>2</sub> retrievals and vertical structures for CO<sub>2</sub>
- Comparisons of ground-based and satellite CO<sub>2</sub> with models

# **Correspondence to:**

X. Jiang, xjiang7@uh.edu

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# CO<sub>2</sub> annual and semiannual cycles from multiple satellite retrievals and models

Xun Jiang<sup>1</sup>, David Crisp<sup>2</sup>, Edward T. Olsen<sup>2</sup>, Susan S. Kulawik<sup>2</sup>, Charles E. Miller<sup>2</sup>, Thomas S. Pagano<sup>2</sup>, Maochang Liang<sup>3</sup>, and Yuk L. Yung<sup>4</sup>

<sup>1</sup>Department of Earth and Atmospheric Sciences, University of Houston, Houston, Texas, USA, <sup>2</sup>Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA, <sup>3</sup>Research Center for Environmental Changes, Academia Sinica, Taipei, Taiwan, <sup>4</sup>Division of Geological and Planetary Sciences, California Institute of Technology, Pasadena, California, USA

**Abstract** Satellite CO<sub>2</sub> retrievals from the Greenhouse gases Observing SATellite (GOSAT), Atmospheric Infrared Sounder (AIRS), and Tropospheric Emission Spectrometer (TES) and in situ measurements from the National Oceanic and Atmospheric Administration - Earth System Research Laboratory (NOAA-ESRL) Surface CO<sub>2</sub> and Total Carbon Column Observing Network (TCCON) are utilized to explore the CO<sub>2</sub> variability at different altitudes. A multiple regression method is used to calculate the CO<sub>2</sub> annual cycle and semiannual cycle amplitudes from different data sets. The CO<sub>2</sub> annual cycle and semiannual cycle amplitudes for GOSAT  $X_{CO2}$  and TCCON  $X_{CO2}$  are consistent but smaller than those seen in the NOAA-ESRL surface data. The  $CO_2$ annual and semiannual cycles are smallest in the AIRS midtropospheric CO<sub>2</sub> compared with other data sets in the Northern Hemisphere. The amplitudes for the CO<sub>2</sub> annual cycle and semiannual cycle from GOSAT, TES, and AIRS CO<sub>2</sub> are small and comparable to each other in the Southern Hemisphere. Similar regression analysis is applied to the Model for OZone And Related chemical Tracers-2 and CarbonTracker model CO2. The convolved model CO<sub>2</sub> annual cycle and semiannual cycle amplitudes are similar to those from the satellite CO<sub>2</sub> retrievals, although the models tend to underestimate the CO<sub>2</sub> seasonal cycle amplitudes in the Northern Hemisphere midlatitudes and underestimate the CO<sub>2</sub> semiannual cycle amplitudes in the high latitudes. These results can be used to better understand the vertical structures for the CO2 annual cycle and semiannual cycle and help identify deficiencies in the models, which are very important for the carbon budget study.

# 1. Introduction

As a result of fossil fuel emissions, atmospheric CO<sub>2</sub> demonstrates a positive trend, which varies from year to year with a range of 1.5–2 ppm from 1960 to 2014 [Keeling et al., 1995; Sarmiento and Wofsy, 1999; Tans and Keeling, 2014]. Superimposed on this trend is an annual cycle resulting from the uptake and release of CO<sub>2</sub> by vegetation [Pearman and Hyson, 1980, 1981; Cleveland et al., 1983; Bacastow et al., 1985; Keeling et al., 1996; Buermann et al., 2007]. In addition to the trend and the annual cycle, atmospheric CO<sub>2</sub> also exhibits variability from synoptic scales to interannual timescales [Bacastow, 1976; Bacastow et al., 1980; Keeling and Revelle, 1985; Keeling et al., 1995; Enting, 1987; Feely et al., 1987; Dettinger and Ghil, 1998; Dargaville et al., 2000; Jiang et al., 2010; Keppel-Aleks et al., 2011; Wang et al., 2011; Jiang et al., 2013a].

The ground-based  $CO_2$  measurement network has successfully been utilized to monitor the  $CO_2$  concentrations and their trends at the surface on the hemispheric to global scale [GLOBALVIEW-CO<sub>2</sub>, 2010]. However, the ground-based network does not have the resolution and coverage to characterize the physical processes that transport  $CO_2$  throughout the atmospheric column and around the globe. Remote sensing observations from satellites provide new tools for studying the variations of atmospheric  $CO_2$ . Spectroscopic observations at thermal IR wavelengths are most sensitive to  $CO_2$  variations in the middle troposphere [Chahine et al., 2008; Kulawik et al., 2010]. High-resolution spectra of reflected sunlight provide surface-weighted estimates of the column-averaged  $CO_2$  dry air mole fraction [Crisp et al., 2012].

Combining satellite and in situ observations and model simulations, it was found that the Madden-Julian Oscillation (MJO), Semiannual Oscillation (SAO), El Niño–Southern Oscillation (ENSO), monsoon, Northern Annular Mode (NAM), and South Atlantic Walker Circulation can influence CO<sub>2</sub> concentrations in the middle troposphere [*Li et al.*, 2010; *Jiang et al.*, 2010; *Wang et al.*, 2011; *Jiang et al.*, 2012, 2013a, 2015]. In addition to

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the intraseasonal variability (e.g., MJO and SAO), ENSO, an important large-scale climate interannual variability, can influence CO<sub>2</sub> concentrations in the tropical region. During El Niño (La Niña) events, the atmospheric CO<sub>2</sub> growth rate increases (decreases) at surface stations [Keeling et al., 1995; Jones et al., 2001; Nevison et al., 2008]. Using midtropospheric CO<sub>2</sub> data from Atmospheric Infrared Sounder (AIRS), Jiang et al. [2010] found that the ENSO can influence the CO<sub>2</sub> concentrations in the midtroposphere. Similar results are seen in Model for OZone And Related chemical Tracers-2 (MOZART-2) convolved midtropospheric CO<sub>2</sub>, although the amplitude and spatial pattern in the model CO<sub>2</sub> are somewhat different compared to those in the AIRS midtropospheric CO<sub>2</sub> [Jiang et al., 2013a]. Midtropospheric CO<sub>2</sub> can also be modulated by the strength of monsoon as a result of change in the circulation. During strong (weak) monsoon years, there are more (less) midtropospheric CO<sub>2</sub> over the Indo-Pacific Ocean compared to normal monsoon years [Wang et al., 2011].

Satellite missions provide global measurements of the atmospheric CO<sub>2</sub> [Chahine et al., 2008; Kulawik et al., 2010; Yokota et al., 2009; Boesch et al., 2011; Reuter et al., 2011; Pagano et al., 2014]. These global and continuous CO<sub>2</sub> data offer a unique opportunity to explore the CO<sub>2</sub> variability at different altitudes. In this paper, we will investigate the annual and semiannual cycles of CO2 by combining models and multiple satellite CO2 retrievals from the Greenhouse gases Observing SATellite (GOSAT) [Yokota et al., 2009; Boesch et al., 2011; Crisp et al., 2012], Atmospheric Infrared Sounder (AIRS) [Chahine et al., 2008], and Tropospheric Emission Spectrometer (TES) [Kulawik et al., 2010], so we can better understand the CO<sub>2</sub> annual and semiannual cycles at different altitudes and over the global domain. We will discuss data and model in section 2 and present results in section 3.

# 2. Data and Models

CO<sub>2</sub> estimates retrieved from three different satellite instruments (GOSAT, AIRS, and TES) are used in this paper. Column-averaged CO<sub>2</sub> dry air mole fraction with more weighting near the surface is retrieved from the Thermal And Near infrared Sensor for carbon Observations-Fourier Transform Spectrometer (TANSO-FTS) on GOSAT. Midtropospheric CO<sub>2</sub> estimates are retrieved from the AIRS on the NASA Aqua satellite and from the TES on the NASA Aura satellite. The above CO<sub>2</sub> data sets are compared to CO<sub>2</sub> observations from surface in situ stations from the NOAA Earth System Research Laboratory (ESRL) network and the Total Carbon Column Observing Network (TCCON). There are three types of information in these data sets. NOAA-ESRL CO<sub>2</sub> data provide CO<sub>2</sub> information at the surface. AIRS and TES CO2 data provide CO2 information in the midtroposphere. GOSAT and TCCON CO<sub>2</sub> data provide estimates of the CO<sub>2</sub> column-averaged dry air mole fraction. The 3-D Model for OZone And Related chemical Tracers-2, (MOZART-2) [Horowitz et al., 2003; Jiang et al., 2013a] and 3-D CarbonTracker [Peters et al., 2007] are also used to explore the CO2 annual cycle and semiannual cycle amplitudes at different pressure levels.

# 2.1. Satellite CO<sub>2</sub> Retrievals

# 2.1.1. GOSAT X<sub>CO2</sub>

The GOSAT TANSO-FTS collects high-resolution spectroscopic observations of reflected sunlight in the CO<sub>2</sub> bands near 1.6 and 2.06 μm and by the 0.765 μm O<sub>2</sub> A band. Its circular, 0.0157-radian diameter, instantaneous field of view yields 10.5 km diameter footprints at nadir. Over land, and over the ocean at latitudes > 20° from the subsolar latitude, its two-axis (along-track/cross-track) pointing mechanism obtains soundings that are separated by ~155 km (five-point mode) or ~273 km (three-point mode [Shiomi et al., 2008; Watanabe et al., 2008; Kuze et al., 2009]). Over the ocean at latitudes < 20° from the subsolar latitude, the pointing mechanism targets a glint spot to provide adequate sensitivity for X<sub>CO2</sub> estimates. In this mode, it collects soundings at ~28 km intervals along the apparent path of the glint spot.

Column-averaged  $CO_2$  dry air mole fraction ( $X_{CO2}$ ) is retrieved from GOSAT data by the Atmospheric  $CO_2$ Observations from Space (ACOS) team, using the optimal estimation approach developed for the Orbiting Carbon Observatory [Boesch et al., 2006; Connor et al., 2008; O'Dell et al., 2012]. Data are available from http://disc.sci.gsfc.nasa.gov/acdisc/data-holdings/acos-data-holdings. GOSAT version B3.4  $X_{CO2}$  is available from June 2009 to May 2013. GOSAT B3.4 X<sub>CO2</sub> data used here have passed a preliminary quality filter and are recommended for the science data analysis. Comparison of X<sub>CO2</sub> from ACOS GOSAT and TCCON shows that they usually agree within 1–2 ppm on regional scales [Nguyen et al., 2014]. We regrid the GOSAT CO<sub>2</sub> to  $2^{\circ}$  (latitude)  $\times 2^{\circ}$  (longitude).

<b>Table 1.</b> Summary of Time Periods and Spatial Coverage for Different CO <sub>2</sub> Data Sets			
Туре	Data	Time Period	Latitude Range
Satellite CO <sub>2</sub>	GOSAT X <sub>CO2</sub> [Crisp et al., 2012] TES midtropospheric CO <sub>2</sub> [Kulawik et al., 2010]	Jun 2009 to May 2013 Sep 2004 to Jun 2011	50°S-82°N 40°S-45°N
	AIRS midtropospheric CO <sub>2</sub> [Chahine et al., 2010]	Sep 2004 to Juli 2011 Sep 2002 to Dec 2013	60°S-90°N
Surface CO <sub>2</sub>	NOAA ESRL $CO_2$ [GLOBALVIEW- $CO_2$ , 2010] TCCON $CO_2$ [Washenfelder et al., 2006]	Different time periods for different stations Different time periods for different stations	90°S–85°N 45°S–79°N

# 2.1.2. AIRS Midtropospheric CO<sub>2</sub>

AIRS is a cross-track scanning grating spectrometer aboard on Aqua with 2378 channels from 3.7 to 15.4  $\mu$ m with a 45 km  $\times$  45 km field of view at nadir [Aumann et al., 2003]. The mixing ratios of AIRS midtropospheric CO<sub>2</sub> are retrieved using the Vanishing Partial Derivative Method (VPD) [Chahine et al., 2005, 2008; Olsen and Licata, 2015]. AIRS midtropospheric CO<sub>2</sub> retrievals are available over land and ocean and under clear and cloudy conditions. AIRS midtropospheric CO<sub>2</sub> retrievals are available at 2° (latitude)  $\times$  2.5° (longitude) from September 2002 to December 2013. The maximum sensitivity of AIRS midtropospheric CO<sub>2</sub> retrieval is from 500 hPa to 300 hPa [Chahine et al., 2008]. The midtropospheric CO<sub>2</sub> retrieved via the VPD method captures the correct CO<sub>2</sub> annual cycle and trend and agrees well with the aircraft CO<sub>2</sub> from CONTRAIL [Chahine et al., 2005], INTEX-NA, and SPURT with a precision  $\sim$  1–2 ppm [Olsen et al., 2008].

# 2.1.3. TES Midtropospheric CO<sub>2</sub>

TES is an imaging infrared FTS aboard on the Aura satellite, which was launched in July 2004. The TES spectral region extends from 660 cm<sup>-1</sup> to 2260 cm<sup>-1</sup> with a spectral resolution of 0.06 cm<sup>-1</sup> [Beer, 2006; Bowman et al., 2006]. Midtropospheric CO<sub>2</sub> data from this instrument are available from 40°S to 45°N and from September 2004 to June 2011. Peak sensitivity of TES midtropospheric CO<sub>2</sub> data is at 511 hPa. The estimated error for TES midtropospheric CO<sub>2</sub> is ~10 ppm for a single target and ~1.3 ppm for the monthly mean average on spatial scales of 20° (latitude) × 30° (longitude) [Kulawik et al., 2010]. Comparison between TES CO<sub>2</sub> with ocean surface stations from GLOBALVIEW-CO<sub>2</sub> reveals a correlation of 0.6. TES midtropospheric CO<sub>2</sub> measurements captures the correct CO<sub>2</sub> latitudinal gradient [Kulawik et al., 2010]. Kulawik et al. [2010] compared TES midtropospheric CO<sub>2</sub> to CONTRAIL aircraft CO<sub>2</sub>, AIRS midtropospheric CO<sub>2</sub>, and CarbonTracker model CO<sub>2</sub> and found similar annual cycles between TES midtropospheric CO<sub>2</sub> and others. TES midtropospheric CO<sub>2</sub> also correlates well with the Carbon Tracker model CO<sub>2</sub> at the surface and 5 km [Kulawik et al., 2010].

# 2.2. Surface CO<sub>2</sub> Observations

Besides the three satellite  $CO_2$  data sets, we also use precise in situ  $CO_2$  measurements. In situ  $CO_2$  measurements include surface flask measurements from the NOAA Earth System Research Laboratory (ESRL) network [Tans et al., 1998] and TCCON [Washenfelder et al., 2006; Macatangay et al., 2008; Wunch et al., 2011]. Site information for the NOAA ESRL surface  $CO_2$  is available at http://www.esrl.noaa.gov/gmd/dv/site/site\_table.html. TCCON stations use a high spectral resolution FTS to record the absorption of direct sunlight by  $CO_2$ ,  $CO_2$ , and other gases. Under clear sky conditions, measurement precision for the TCCON column  $CO_2$  is  $\sim$ 0.1% [Washenfelder et al., 2006]. There are 20 operational sites between Ny Alesund, Norway (79°N), and Lauder, New Zealand (45°S) (http://www.tccon.caltech.edu/). Table 1 summarizes time periods and spatial coverage for all data sets.

# 2.3. Models

In this paper, two different models (MOZART-2 and CarbonTracker) are used to explore the  $CO_2$  annual cycle and semiannual cycle. The MOZART-2 model is driven by the meteorological inputs every 6 h from the European Center for Medium range Weather Forecast (ECMWF)-Interim Reanalysis data from 1991 to 2012. Advection is computed every 20 min with a flux form semi-Lagrangian method [*Lin and Rood*, 1996]. The horizontal resolution of MOZART-2 is 2.8° (latitude)  $\times$  2.8° (longitude) with 45 vertical levels extending up to approximately 50 km altitude. MOZART-2 is built on the framework of the Model of Atmospheric Transport and Chemistry (MATCH). Advection, convective transport, boundary layer mixing, and wet and dry depositions are well represented in the MATCH model. Prescribed  $CO_2$  sources and sinks are used as the model boundary conditions. The exchange of  $CO_2$  between biosphere and atmosphere is calculated from the Carnegie-Ames Stanford Approach (CASA) biogeochemical model, which includes the effects of weather,

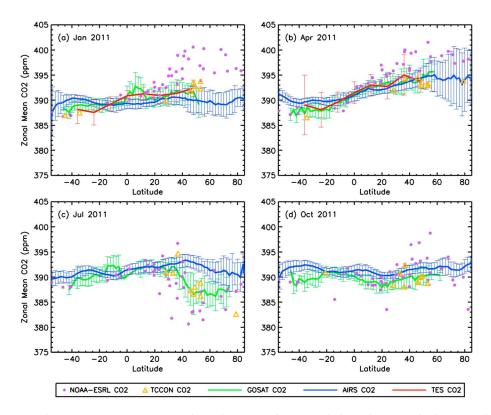


Figure 1. Zonal mean CO2 in (a) January 2011, (b) April 2011, (c) July 2011, and (d) October 2011. Blue line is zonal mean AIRS midtropospheric CO<sub>2</sub>. Red line is zonal mean TES midtropospheric CO<sub>2</sub>. Green line is zonal mean GOSAT X<sub>CO2</sub>. Error bars are the standard deviations of CO<sub>2</sub> at each latitudinal band. Purple dots are surface CO<sub>2</sub> from NOAA ESRL network. Orange triangles are TCCON X<sub>CO2</sub>.

satellite observed Normalized Difference Vegetation Index, and fire on biosphere processes [Olsen and Randerson, 2004; van der Werf et al., 2006; Giglio et al., 2006; Keppel-Aleks et al., 2012]. Air-to-sea exchange of CO<sub>2</sub> is from Takahashi et al. [1997]. The fire module in the model is based on Global Fire Emissions Database Version 3.1 (GFEDv3.1) [Randerson et al., 2013]. Fossil fuel CO<sub>2</sub> emissions are from the Carbon Dioxide Information and Analysis Center [Boden et al., 2013].

CarbonTracker 2013 has a global resolution of 3° (longitude) × 2° (latitude). Transport Model 5 (TM5) is used to represent transport in CarbonTracker. Winds used to drive TM5 are from the ECMWF operational forecast model and the ECMWF-Interim Reanalysis. Ocean uptake of CO2 is taken from ocean inversions [Jacobson et al., 2007] and direct measurements of seawater pCO<sub>2</sub> [Takahashi et al., 2009]. The exchange between biosphere and atmosphere is from CASA biogeochemical model. The fire module in CarbonTracker is from GFEDv3.1 [Randerson et al., 2013]. Fossil fuel CO<sub>2</sub> emissions are from the Miller fossil fuel emission inventory [Miller et al., 2012] and ODIAC fossil fuel emission inventory [Oda and Maksyutov, 2011]. Surface CO<sub>2</sub> observations from NOAA-ESRL Cooperative Global Air Sampling Network and the CSIRO Air Sampling Network are assimilated in CarbonTracker. 3-D mole fractions of CO<sub>2</sub> can be downloaded from ftp://aftp.cmdl.noaa.gov/products/carbontracker/co2/.

# 3. Results

We have conducted an intercomparison for three satellite  $CO_2$  data sets (GOSAT  $X_{CO2}$ , AIRS midtropospheric  $CO_2$ , and TES midtropospheric  $CO_2$ ) with in situ  $CO_2$  observations (NOAA-ESRL surface  $CO_2$  and TCCON  $X_{CO2}$ ) to check the information contents of three satellite CO2 data sets in Figure 1. The averaging kernels for the GOSAT X<sub>CO2</sub> and TES CO<sub>2</sub> are peaked closer to the surface compared with that from AIRS midtropospheric CO<sub>2</sub>. While these vertical sensitivity differences preclude the cross validation of these remote sensing data sets, they provide a unique opportunity to study the CO2 variability at different altitudes on regional to global scales. Zonal mean CO2 for the GOSAT XCO2, AIRS midtropospheric CO2, and TES midtropospheric



CO<sub>2</sub> in January 2011, April 2011, July 2011, and October 2011 are shown in Figure 1. Error bars in Figure 1 are the standard deviations for the zonal mean  $CO_2$  at each latitudinal band. In general, the zonal average CO2 for the three satellites show less variability than the NOAA-ESRL surface CO2. The GOSAT and TES results are consistent with the TCCON X<sub>CO2</sub> data.

As shown in Figure 1a, the NOAA-ESRL surface  $CO_2$  measurements and the TCCON  $X_{CO2}$  data show that there is more CO<sub>2</sub> in the Northern Hemisphere (NH) than in the Southern Hemisphere (SH) in January. The latitudinal gradients of CO<sub>2</sub> are smaller in the satellite CO<sub>2</sub> (AIRS midtropospheric CO<sub>2</sub>, TES midtropospheric CO<sub>2</sub>, and GOSAT X<sub>CO2</sub>) than the NOAA-ESRL surface CO<sub>2</sub>. The GOSAT data extend only to ~50° latitude due to the lack of sunlight. January is the winter (summer) in the NH (SH). Release of CO2 from biospheric respiration increases the atmospheric CO<sub>2</sub> concentrations near the surface in the fall and winter seasons; as a result, the atmospheric CO<sub>2</sub> concentrations are high in January in the NH. CO<sub>2</sub> uptake from vegetation in the summer removes CO2 from the atmosphere and decreases the near-surface CO2 concentrations in the SH in January. TCCON and GOSAT X<sub>CO2</sub> retrievals are sensitive to the full atmospheric column and are similar. The CO<sub>2</sub> north-south gradient in the NOAA-ESRL CO<sub>2</sub> is higher than those from the GOSAT and TCCON X<sub>CO2</sub>, and the TES and AIRS midtropospheric CO<sub>2</sub>, because the amplitude of the seasonal CO<sub>2</sub> variability decreases rapidly with altitude above the CO<sub>2</sub> surface sources/sinks. Surface CO<sub>2</sub> values are lower in the NH polar region than those at midlatitudes in January because the largest fossil fuel emissions sources are at midlatitudes [Oda and Maksyutov, 2011; Boden et al., 2013], and CO<sub>2</sub> biospheric respiration is suppressed by the low temperature and snow/ice cover in the polar region. The midtropospheric CO<sub>2</sub> values are lower in the NH polar region than those at midlatitudes during this season, which is partly related to the transport of stratospheric low-CO<sub>2</sub> air into the midtroposphere over the NH polar region [Jiang et al., 2013b].

In April (Figure 1b), the latitudinal gradients of CO<sub>2</sub> have the same sign among GOSAT X<sub>CO2</sub>, AIRS midtropospheric CO<sub>2</sub>, TES midtropospheric CO<sub>2</sub>, TCCON X<sub>CO2</sub>, and NOAA-ESRL surface CO<sub>2</sub>. There is more CO<sub>2</sub> in the NH high latitudes in April as a result of building up of fossil fuel emissions and biomass burning in the winter season. The NOAA-ESRL surface CO<sub>2</sub> measurements are higher than those from GOSAT X<sub>CO2</sub> and the AIRS and TES midtropospheric CO<sub>2</sub> in the NH. The concentration of CO<sub>2</sub> is low at NH high latitudes in July due to the uptake of CO<sub>2</sub> by the biosphere [Pearman and Hyson, 1981; Keeling et al., 1996, see Figure 1c]. The CO<sub>2</sub> concentrations are lower in the surface NOAA-ESRL CO2 than those in the satellite CO2 retrievals (GOSAT X<sub>CO2</sub>, TCCON X<sub>CO2</sub>, and AIRS midtropospheric CO<sub>2</sub>) in the NH in July. CO<sub>2</sub> concentrations increase again in the NH high latitudes in October [Keeling et al., 1996; Giglio et al., 2006; Randerson et al., 2013] as shown in Figure 1d. The differences among the three satellite CO2 retrievals are related to the different vertical sensitivities of the three satellite CO2 observations.

In Figure 2, we compared zonal mean CO<sub>2</sub> time series from three satellite CO<sub>2</sub> retrievals and the NOAA-ESRL surface CO<sub>2</sub>. CO<sub>2</sub> annual cycle amplitudes are larger in the NH than that in the SH, because the amplitudes of the CO<sub>2</sub> annual cycles from biospheric photosynthesis and respiration are larger in the NH than that in the SH [Cleveland et al., 1983]. CO<sub>2</sub> seasonal cycle amplitudes also change as a function of time as shown in Figure 2. To better reveal CO<sub>2</sub> annual cycle amplitudes as a function of latitude, we have applied a multiple regression method to all data sets. We regressed CO<sub>2</sub> data to the trend, annual, and semiannual oscillation. We decomposed CO<sub>2</sub> concentrations, X, at each location using the following empirical model [Jiang et al., 2013a]:

$$X(t) = A_0 + A_1 N P_1(t/N - 1) + A_2 N^2 P_2(t/N - 1) + A_3 N^3 P_3(t/N - 1) + C_1 \cos(2\pi t) + S_1 \sin(2\pi t) + C_2 \cos(4\pi t) + S_2 \sin(4\pi t)$$
(1)

where t is time, N is the half length of the time period, the values  $P_1$ ,  $P_2$ , and  $P_3$  are the first, second, and third Legendre polynomials. The coefficients  $A_0$ ,  $A_1$ ,  $A_2$ , and  $A_3$  are the mean value, the trend, the acceleration in the trend, and the coefficient for  $P_3$ , respectively. We added the third Legendre function to better fit the data sets. Annual and semiannual cycles are represented by the harmonic functions.  $C_1$  and  $S_1$  are the amplitudes of the annual cycle, while  $C_2$  and  $S_2$  are the amplitudes of the semiannual cycle.

The amplitudes for the CO<sub>2</sub> annual cycle  $(\sqrt{C_1^2 + S_1^2})$  and the CO<sub>2</sub> semiannual cycle  $(\sqrt{C_2^2 + S_2^2})$  are plotted in Figures 3a and 3b, respectively. The CO<sub>2</sub> annual cycle amplitudes are ~5–10 ppm for the NOAA-ESRL surface CO<sub>2</sub> in the NH, which is almost a factor of 2 larger than those derived from the satellite CO<sub>2</sub> retrievals. The annual cycle amplitudes of the GOSAT  $X_{CO2}$  are consistent with those from TCCON  $X_{CO2}$ . For these two

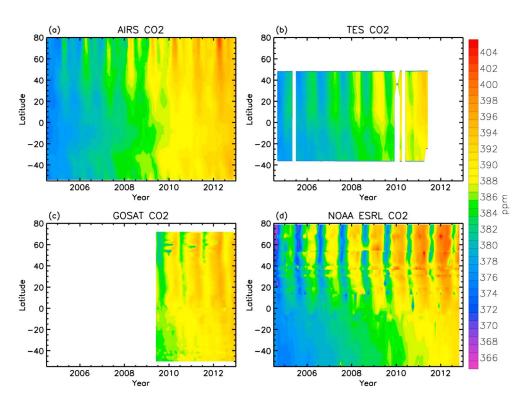


Figure 2. (a) Zonal mean AIRS midtropospheric CO<sub>2</sub>, (b) zonal mean TES midtropospheric CO<sub>2</sub>, (c) zonal mean GOSAT X<sub>CO2</sub>, and (d) surface NOAA-ESRL CO<sub>2</sub>.

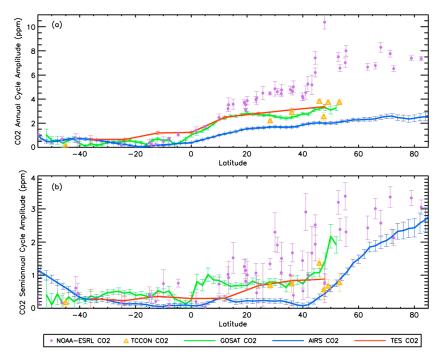


Figure 3. (a) Latitudinal distributions of CO<sub>2</sub> annual cycle amplitudes. (b) Latitudinal distributions of CO<sub>2</sub> semiannual cycle amplitudes. Blue lines are results from AIRS midtropospheric CO<sub>2</sub>. Green lines are results from GOSAT X<sub>CO2</sub>. Purple dots are  $results from \, NOAA-ESRL \, surface \, CO_2. \, Orange \, triangles \, are \, results \, from \, TCCON \, X_{CO2}. \, Error \, bars \, are \, the \, uncertainties \, of \, CO_2 \, are \, the \, contract \, and \, the \, contract \, triangles \, are \, the \, contract \, triangles \, are \,$ annual cycle and semiannual cycle amplitudes derived from the multiple regressions.

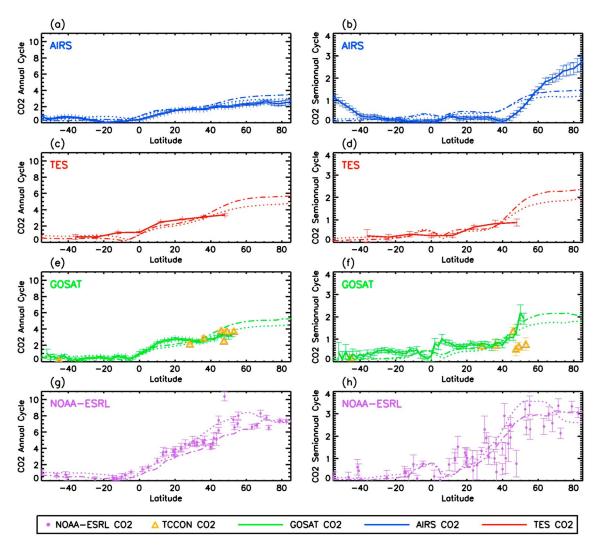


Figure 4. (a) Comparison of annual cycle amplitudes between AIRS midtropospheric CO<sub>2</sub> and model convolved CO<sub>2</sub>, (b) comparison of semiannual cycle amplitudes between AIRS midtropospheric CO<sub>2</sub> and model convolved CO<sub>2</sub>, (c and d) comparisons of annual and semiannual cycle amplitudes between TES midtropospheric CO<sub>2</sub> and model convolved CO<sub>2</sub>, (e and f) comparisons of annual and semiannual cycle amplitudes between GOSAT X<sub>CO2</sub> and model convolved CO<sub>2</sub>, and (g and h) comparisons of annual and semiannual cycle amplitudes between NOAA-ESRL surface CO2 and model surface CO2. Dotted lines are convolved model CO2 from MOZART-2. Dash-dotted lines are convolved model CO<sub>2</sub> from CarbonTracker. Units are in ppm.

X<sub>CO2</sub> data sets, the NH (SH) annual cycle amplitudes are about 2-3 ppm (0.5-1 ppm). TES CO<sub>2</sub> annual cycle amplitudes are similar to GOSAT X<sub>CO2</sub> in the NH. The NH CO<sub>2</sub> annual cycle amplitude is smallest in the AIRS midtropospheric CO<sub>2</sub>. Since the CO<sub>2</sub> annual cycle amplitudes are small in the SH, the differences of CO<sub>2</sub> annual cycle amplitudes between different satellite CO<sub>2</sub> retrievals are correspondingly small.

Amplitudes for CO<sub>2</sub> semiannual cycle are shown in Figure 3b. The CO<sub>2</sub> semiannual signal is largest at the surface, for the source for the semiannual signal in CO2 is mostly related to the CO2 exchange between biosphere and atmosphere at the surface [Jiang et al., 2012]. The CO<sub>2</sub> semiannual signals are consistent between the GOSAT and TCCON CO2, which are smaller than that from the surface NOAA-ESRL CO2. The semiannual signal is smaller in the AIRS midtropospheric CO<sub>2</sub> than GOSAT CO<sub>2</sub> and TES CO<sub>2</sub>.

Similar multiple regression analysis has been applied to model CO<sub>2</sub> from MOZART-2 and CarbonTracker to check how well the models simulate the annual and semiannual cycles of CO2 at different altitudes. First, we convolve GOSAT, TES, and AIRS averaging kernels with the MOZART-2 and CarbonTracker model vertical  $CO_2$  profiles. Then we calculate the  $CO_2$  annual cycle amplitude  $(\sqrt{C_1^2 + S_1^2})$  and semiannual cycle amplitude  $(\sqrt{C_2^2 + S_2^2})$  for model convolved CO<sub>2</sub> using the multiple regression method. Results for the annual cycle



and semiannual cycle amplitudes from the model convolved CO<sub>2</sub> are plotted against satellite and surface CO<sub>2</sub> in Figure 4. The convolved model CO<sub>2</sub> annual cycle amplitudes are shown in Figures 4a, 4c, 4e, and 4g. In the midlatitudes of the NH, the model convolved CO<sub>2</sub> annual cycle amplitudes are about 2–3 ppm, which are a little (~0.5 ppm) lower than the amplitudes seen in the satellite CO<sub>2</sub> retrievals from GOSAT and TES. In the midlatitudes of the NH, the model surface CO<sub>2</sub> annual cycle amplitudes are similar to those obtained from the NOAA-ESRL surface CO<sub>2</sub>. The model convolved CO<sub>2</sub> annual cycle amplitude (blue line) is about 3 ppm in the NH high latitudes, which is close to that in the AIRS midtropospheric CO<sub>2</sub> as shown in Figure 4a. In the SH, the model results convolved with the remote sensing averaging kernels produce CO2 annual cycle amplitudes between 0.5 and 1 ppm, which are similar to those from GOSAT, TES, and AIRS  $CO_2$  retrievals. The values obtained by convolving the model CO<sub>2</sub> by the GOSAT averaging kernel are larger than the values obtained by convolving the model CO<sub>2</sub> by the AIRS CO<sub>2</sub> averaging kernel, because the GOSAT X<sub>CO2</sub> averaging kernel's maximum is closer to the surface than that for the AIRS CO<sub>2</sub> averaging kernel.

Semiannual cycle amplitudes for the MOZART-2 and CarbonTracker CO<sub>2</sub> are shown in Figures 4b, 4d, 4f, and 4h. Both models show CO<sub>2</sub> semiannual cycles that are larger in the NH than SH. The CO<sub>2</sub> semiannual cycle amplitude obtained by convolving the models with the GOSAT averaging kernel is about 0.5-2 ppm in the NH, which is similar to the measured GOSAT CO<sub>2</sub> semiannual cycle shown in Figure 4f. The amplitude of the CO<sub>2</sub> semiannual cycle obtained by convolving the models with the AIRS averaging kernel is about 0.5–1 ppm in the NH, which is weaker than that from AIRS CO<sub>2</sub> semiannual cycle in the high latitudes. In the SH, the amplitudes of the semiannual cycle in CO<sub>2</sub> obtained by convolving the model results with the AIRS averaging kernel is much weaker than in the NH, which is consistent with the observation. The difference of the CO<sub>2</sub> semiannual cycle amplitudes between AIRS midtropospheric CO<sub>2</sub> and model convolved CO<sub>2</sub> is ~0.5-1 ppm in the high latitudes and need further exploration with in situ CO<sub>2</sub> profile data in the future.

# 4. Conclusions

Recent satellite CO<sub>2</sub> retrievals offer a unique opportunity to study the CO<sub>2</sub> variability at different altitudes [Crisp et al., 2012; Chahine et al., 2008; Kulawik et al., 2010]. In this paper, we have compared the satellite CO<sub>2</sub> retrievals with in situ CO<sub>2</sub> measurements (NOAA-ESRL surface CO<sub>2</sub> and TCCON X<sub>CO2</sub>). The latitudinal gradients and their seasonal variations are consistent with the vertical sensitivities of the observations and the rapid decay of the CO<sub>2</sub> variations with altitude. We have investigated the annual cycle and semiannual cycle amplitudes of CO<sub>2</sub> from the GOSAT X<sub>CO2</sub>, midtropospheric AIRS CO<sub>2</sub>, midtropospheric TES CO<sub>2</sub>, NOAA-ESRL surface CO<sub>2</sub>, and TCCON X<sub>CO2</sub>. The CO<sub>2</sub> annual cycle and semiannual cycle amplitudes for GOSAT X<sub>CO2</sub> and TCCON X<sub>CO2</sub> are consistent but smaller than those seen in the NOAA-ESRL surface data. As expected, the CO<sub>2</sub> annual cycle and semiannual cycle amplitudes are larger in the NH than that in the SH. The CO<sub>2</sub> annual and semiannual cycles are smallest in the AIRS midtropospheric CO<sub>2</sub> compared with other data sets in the NH. The amplitudes for the CO<sub>2</sub> annual cycle and semiannual cycle from GOSAT, TES, and AIRS CO<sub>2</sub> are small and comparable to each other in the SH. Results obtained in this study can help us better understand the information contents of the satellite CO<sub>2</sub> retrievals and vertical structures for the CO<sub>2</sub> annual cycle and semiannual cycle. Comparisons of these ground-based and satellite observations with the MOZART-2 and CarbonTracker model illustrate how well the chemistry-transport models simulate the CO2 annual cycles and semiannual cycles at different altitudes. With better CO<sub>2</sub> surface emission inventories and transport fields, we can better simulate CO<sub>2</sub> seasonal cycles at different altitudes in the future. These data/model comparisons can also be used to diagnose the deficiencies in the models and improve the CO<sub>2</sub> simulations, which is very important for understanding the carbon budget in the future.

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